BID DOCUMENTS

FOR

2021 Retaining Wall Project



CITY OF OWOSSO 301 W. MAIN STREET OWOSSO, MICHIGAN 48867

February 15, 2021

NOTICE TO BIDDERS 2021 RETAINING WALL PROJECT FOR THE CITY OF OWOSSO, MICHIGAN

Sealed proposals will be received by the city of Owosso for the

2021 RETAINING WALL PROJECT

and should be addressed to:
Bid Coordinator
City of Owosso
301 W. Main Street
Owosso, Michigan 48867

Major items include: Geotechnical Investigation and Design, retaining wall removal and replacement, and sidewalk removal and replacement at Owosso City Hall in the city of Owosso.

Bids will be accepted until 3:00 p.m. Tuesday, March 16, 2021 for the 2021 Retaining Wall Project at which time bids will be publicly opened and read aloud.

All bids must be in writing and must contain an <u>original</u> signature by an authorized officer of the firm. Electronic bids (i.e., telephonic, fax, email, etc.) are **NOT** acceptable.

All bids must be accompanied by a Bid Bond for a sum of not less than 5% of the total bid and shall be made payable to the city of Owosso. This amount shall be forfeited in the case of failure on the part of the successful bidder to sign a contract and furnish satisfactory bonds as required within ten (10) consecutive calendar days after the acceptance of the bid by the city of Owosso.

The bidder agrees that if the city accepts their proposal, the bidder will, within 10 consecutive calendar days after receiving notice of this acceptance, enter into a contract to furnish all labor, equipment and tools necessary to execute the work at the unit prices named in the bid proposal and will furnish the surety for performance, for one hundred percent (100%) of this bid, which shall be accepted and approved by the city.

All bids shall clearly contain on the outside of the **sealed** envelope in which they are submitted:

2021 RETAINING WALL PROJECT

Hard copies of the proposal, contract forms and specifications are on file and may be obtained for a fee in accordance with the city's FOIA Policy at the office of the Bid Coordinator, City Hall, 301 West Main Street, Owosso, Michigan 48867. Bid documents are available at no charge on our website at www.ci.owosso.mi.us or on the MITN website at www.mitn.info.

The city reserves the right to accept any proposal; or to reject any proposal; to waive irregularities in a proposal; or to negotiate if it appears to be in the best interest of the city of Owosso.

Geotechnical investigation and design work shall start by June 7, 2021. No work on the retaining wall can begin before July 6, 2021 and all work is to be completed by September 10, 2021.

INQUIRIES/ADDENDUMS

Addendums will be available on the city's website at www.ci.owosso.mi.us and on the MITN website at www.mitn.info.

All inquiries regarding this bid request must be submitted to Clayton Wehner and received at least five (5) calendar days prior to the submission and shall be received in, and responded to, in writing, or via FAX at 989-723-8854 or by e-mail to: clayton.wehner@ci.owosso.mi.us, Call 989-725-0551 to arrange a field inspection.

INSTRUCTIONS TO BIDDERS

- 1. Each proposal must be signed by the bidder with his usual signature. Bids by partnerships should be signed with the partnership name by one of the members of the partnership or by an authorized representative, followed by the signature and title of the person signing. Proposals by corporations must be signed with the name of the corporation, followed by the signature and designation of the president, vice-president or person authorized to bind it in the matter. Any paperwork not filled out properly or signed will cause the bid to be considered non-responsive and shall be rejected by the city.
- 2. Proposals, to receive consideration, must be received prior to the specified time of opening and reading as designated in the invitation.
- 3. Bidders are requested to use the proposal form furnished by the city when submitting their proposals. Envelopes must be **sealed** when submitted and clearly marked on the outside indicating the name of the bid.
- 4. Proposals having and erasures or corrections thereon may be rejected unless explained or noted over the signature of the bidder.
- 5. References in the specifications or description of materials, supplies, equipment, or services to a particular trade name, manufacturer's catalog, or model number are made for descriptive purposes to guide the bidder in interpreting the type of materials or supplies, equipment, or nature of the work desired. They should not be construed as excluding proposals on equivalent types of materials, supplies, and equipment or for performing the work in a manner other than specified. However, the bidders' attention is called to General Condition seven (7).
- 6. Proposals should be mailed or delivered to: Bid Coordinator's Office, City Hall, 301 W. Main Street, Owosso, MI 48867.
- 7. Special conditions included in this inquiry shall take precedence over any conditions listed under General Conditions or Instructions to Bidders.
- 8. Insurance coverage The winning bidder, prior to execution of the contract, shall file with the city copies of completed certificates of insurance naming the city of Owosso as an additional insured party, as evidence that the contractor carries adequate insurance satisfactory to the city.
- 9. The city of Owosso has a local preference policy for the purchase of goods and services. The policy in part states: A business located within the city limits and paying real or personal property taxes to the city of Owosso will be granted a six percent (6%) bid advantage or \$2,500, whichever is less, over a business located outside Shiawassee County. A business located outside the city limits but within Shiawassee County and paying property taxes to the county will be granted a three percent (3%) bid advantage or \$2,500, whichever is less, over a business located outside Shiawassee County. The preference also applies to subcontractors performing twenty-five percent (25%) or more of the work of a general contract.
- 10. The following items must be included with the bid response:
 - a. Vendor Proposal
 - b. W-9 Request for Taxpayer ID No. and Certification
 - c. Signature Page & Legal Status/ Acknowledgement of Addendum(s)insurance Endorsement

BID Proposal

2021 RETAINING WALL PROJECT

TO: THE CITY OF OWOSSO (HEREINAFTER CALLED THE "CITY")

Bidder must provide pricing for each item listed. If additional pricing elements are being offered by the bidder, they are to be listed under "other services/items offered."

The undersigned, having examined the bid proposal forms and specifications, does hereby offer to 2021 RETAINING WALL PROJECT from June 7, 2021 through September 10, 2021 listed below at the following prices to wit:

BASE BID ITEMS 1-9:

Item	Description	Approx. Quantity	Unit	Unit Price	Total Price
1	Geotechnical Investigation and Design	1	LSUM		
2	Mobilization, Max \$9,500	1	LSUM		
3	Erosion Control, Inlet Protection, Fabric Drop	2	Ea		
4	Erosion Control, Silt Fence	275	Ft		
5	Structures, Rem	1	LSUM		
6	Sidewalk, Rem	225	Syd		
7	Keystone Retaining Wall System, Complete	1	LSUM		
8	Sidewalk, Conc, 6 inch	1560	Sft		
9	Turf Establishment, Performance	175	Syd		

D 1 1	• т	• • • •	
Bidde	nr'c l	nitial	
Didde		пппа	

BAS	E BID TOTAL				
Tota	l of Bid Items 1-9:				
			(use wor	rds)	
Ф					
\$			(use figu	res)	_
				Bidder	's Initial
ALT	ERNATE BID ITEMS	S 101-103:			
Alten	rnate bid option for addi	tional sidewa	ılk and tu	rf restoration work.	
tem	Description	Approx. Quantity	Unit	Unit Price	Total Price
01	Sidewalk, Rem	160	Syd		
02	Sidewalk, Conc, 6 inch	2215	Sft		
03	Turf Establishment, Performance	350	Syd		
ALT	ERNATE BID TOTA	L:			
	l of Bid Items 101-103				
1014	of Did Items 101-103	above.			
			(use wor	rds)	
¢					

(use figures)

Bidder's Initial _____

BASE BID + ALTERNATE BID TOTAL:

	(use words)		
Φ			
\$			
	(use figures)		
		Bidder's Initial	

VARIANCE FROM SPECIFICATIONS: If the bidder is unable to comply with the specifications as outlined, the bidder shall clearly note these variations from the specifications. The bidder may also propose additions to these specifications for the city to consider, but the costs associated with these additions shall be stated separately.

If the work in not complete on or before the date set for completion or any extension, the Contractor shall pay the city liquidated damages of \$1,300.00 a calendar day until the work is satisfactorily completed. Liquidated damages for delay may be deducted from payments due the contractor or may be collected from the Contractor or the Contractor's surety.

The undersigned agrees that if the city accepts this proposal, Contractor will, within 10 consecutive calendar days after receiving notice of this acceptance, enter into a contract to furnish all labor, equipment and tools necessary to execute the work at the unit prices named in the bid proposal. Contractor will furnish the surety for performance, for 100% of this bid, which shall be accepted and approved by the city.

The undersigned agrees that if the city accepts this proposal, Contractor will start this project no sooner than **June 7**, **2021** and will substantially complete the entire work under this contract by **September 10**, **2021**. This schedule may be extended for rain days or cold weather for calendar days after **September 10**, **2021**, only as approved by the city of Owosso.

BIDDER QUALIFICATION SUBMITTAL:

Bidder must be experienced in installation of large segmented block retaining wall systems. Bidder is required to complete and submit this questionnaire demonstrating qualified experience. Qualified experience must be minimum 50,000 square feet of successful installation of large segmented block retaining wall systems. Please include a spreadsheet of in-place installation of segmented block retaining wall systems, along with area amounting to 50,000 square feet (minimum), location, owner name and contact information. Failure to satisfactorily complete the questionnaire to satisfaction of City of Owosso may be grounds for rejection of bid proposal.

The signatory of this proposal guarantees the truth and accuracy of all statements and answers hereinafter made:

1.	How many years have you been in business as a contractor under your present name?
2.	What similar projects of segmented block retaining wall type projects has your organization contracted for that amount to minimum 50,000 square feet of in-place installation? Please list below and/or submit a completed spreadsheet that identify: a. Name of Owner and Location. b. Name/Address/ Contact Information of Person in Charge as Reference. c. Area and Value of Work Completed. d. Date Completed.
	Name of Owner And Location Name/Address/Contact Info Area of Work (square feet) As Reference Area of Work (square feet)
2.	

3	 	 	
4.			
4		 	
5		 	
6		 	
7		 	
8			
9.			
TOTALS		 	

RETAINING WALL this proposal is subject contract documents. I CITY to reject any and	PROJECT for you to the General Cond n submitting this product all proposals, and v	, I hereby submit this proposal for 2021 or consideration. The undersigned acknowledges that ditions and the General Specifications included in the oposal, it is understood that the right is reserved by the waive any irregularities in the bidding process. The by combination of the total bid and/or alternates.
Dated and signed at		State of
This	day of	
		Bidder
Witness:		By/s/
		Business Address
		Signature
		Printed Name
		Title
		Telephone Number
		E-Mail Address

GENERAL CONDITIONS

1. LOCAL PREFERENCE POLICY

The city of Owosso has a local preference policy for the purchase of goods and services. The policy in part states: A business located within the city limits and paying real or personal property taxes to the city of Owosso will be granted a 6% bid advantage or \$2,500, whichever is less, over a business located outside Shiawassee County. A business located outside the city limits but within Shiawassee County and paying property taxes to the county will be granted a 3% bid advantage or \$2,500, whichever is less, over a business located outside Shiawassee County. The preference also applies to subcontractors performing 25% or more of the work of a general contract.

2. BID ACCEPTANCE

The city reserves the right to reject any or all proposals. Unless otherwise specified, the city reserves the right to accept any item in the proposal. In case of error in extending the total amount of the bid, the unit prices shall govern. The city objects to any additional terms stated in any documents submitted by the contractor. Performance pursuant to our Purchase Order/Equipment Agreement constitutes a course of conduct consisting of Contractor's Agreement to the terms of our Purchase Order/Equipment Agreement.

3. PAYMENT

Unless otherwise stated by the bidder, time, concerning discount offered, will be computed from date of delivery and acceptance at destination or from date correct bill or claim voucher properly certified by the contractor is received. When so stated herein, partial payments, based on a certified approved estimate by the city of materials, supplies or equipment delivered or work done, may be made upon presentation of a properly-executed claim voucher. The final payment will be made by the city when materials, supplies, equipment or the work done have been fully delivered or completed to the full satisfaction of the city.

4. BID DEFAULT

In case of default by the bidder or contractor, the city of Owosso may procure the articles or services from other sources and hold the bidder or contractor responsible for any excess cost occasioned thereby.

5. UNIT PRICES

Prices should be stated in units of quantity specified.

6. OUOTED PRICES

Unless otherwise stated by the bidder, prices quoted will be considered as being based on delivery to a designated destination and to include all charges for packing, crating, containers, shipping, etc., and being in strict accordance with specifications and standards as shown.

7. SUBSTITUTIONS

Wherever a reference is made in the specifications or description of the materials, supplies, equipment, or services required, to a particular trade name, manufacturer's catalog, or model number, the bidder, if awarded a contract or order, will be required to furnish the particular item referred to in strict accordance with the specifications or description unless a departure or substitution is clearly noted and described in the proposal.

8. HOLD CITY HARMLESS

The bidder, if awarded an order or contract, agrees to protect, defend, and save the city harmless against any demand for payment for the use of any patented material, process, article, or device that may enter into the manufacture, construction, or form a part of the work covered by either order or contract. Bidder further agrees to indemnify and save the city harmless from suits or action of every nature and description

brought against it, for or on account of any injuries or damages received or sustained by any party or parties, by or from any of the acts of the contractor, his employees, subcontractors, or agents.

9. COMPETITIVE BIDDING STATUTES

The laws of the state of Michigan, the charter and ordinances of the city of Owosso, as far as they apply to the laws of competitive bidding, contracts and purchases, are made a part hereof.

10. SAMPLES

Samples, when requested, must be furnished free of expense to the city and, if not destroyed, will upon request be returned at the bidder' expense.

11. BONDS

A bid bond may be required, payable to the City of Owosso. If so required in the bid documents, a performance bond and labor and material bond in the amounts stated in the bid documents, shall be on file with the city before work commences. The city will determine the amount and sufficiency of the sureties.

12. PROPOSAL GUARANTEE

All checks or bid bonds, except those of the three lowest bidders, will be returned when the bids have been opened and tabulated. The certified checks or bid bonds of the three lowest bidders will be held until the contract documents have been signed, after which remaining certified checks or bid bonds will be returned to the respective bidders.

13. BIDDERS

The city may demand that the contractor file a sworn experience and financial statement setting forth the financial resources, adequacy of plant and equipment, organization, experience and other pertinent and material facts as may be desirable.

14. INSURANCE AND HOLD HARMLESS

To the fullest extent permitted by law the Contractor agrees to defend, pay on behalf of, indemnify, and hold harmless the City of Owosso, its elected and appointed officials, employees, agents and volunteers, and others working on behalf of the City of Owosso against any and all claims, demands, suits, or loss, including all costs connected therewith, and for any damages which may be asserted, claimed, or recovered against or from the City of Owosso, by reason of personal injury, including bodily injury or death and/or property damage, including loss of use thereof, for all actions of the Contractor.

Contractor shall not commence work under this contract until they have obtained the insurance required under this paragraph, and shall keep such insurance in force during the entire life of this contract. All coverage shall be with insurance companies licensed and admitted to do business in the State of Michigan and acceptable to the City of Owosso. The requirements below should not be interpreted to limit the liability of Contractor. All deductibles and SIR's are the responsibility of Contractor. Contractor shall procure and maintain the following insurance coverage:

- a. Worker's Compensation Insurance including Employers' Liability Coverage, in accordance with all applicable statutes of the State of Michigan.
- b. Commercial General Liability Insurance on an "Occurrence Basis" with limits of liability not less than \$1,000,000 per occurrence and aggregate. Coverage shall include the following extensions:

- (A) Contractual Liability; (B) Products and Completed Operations; (C) Independent Contractors Coverage; (D) Broad Form General Liability Extensions or equivalent, if not already included. (E) Explosion, Collapse, and Underground (XCU) coverage, if applicable. Limits may be obtained by the use of primary and excess/umbrella liability policies.
- c. Automobile Liability including Michigan No-Fault Coverages, with limits of liability not less than \$1,000,000 per occurrence, combined single limit for Bodily Injury, and Property Damage. Coverage shall include all owned vehicles, all non-owned vehicles, and all hired vehicles.
- d. Owners' and Contractor Protective Liability: The Contractor shall procure and maintain during the life of this contract, a separate Owners' and Contractor's Protective Liability Policy with limits of liability not less than \$1,000,000 per occurrence and aggregate for Personal Injury, Bodily Injury, and Property Damage. The City of Owosso shall be the "Named Insured" on said coverage.
- e. Additional Insured: Commercial General Liability and Automobile Liability as described above shall include an endorsement stating the City of Owosso shall be listed as additional insured. It is understood and agreed by naming the City of Owosso as additional insured, coverage afforded is considered to be primary and any other insurance the City of Owosso may have in effect shall be considered secondary and/or excess.
- f. Cancellation Notice: All policies, as described above, shall include an endorsement stating that is it understood and agreed Thirty (30) days, Ten (10) days for non-payment of premium, Advance Written Notice of Cancellation, shall be sent to: (The City of Owosso, Debbie Hebert, Insurance Coordinator, 301 W. Main Street, Owosso, MI 48867).
- g. Proof of Insurance Coverage: Contractor shall provide the City of Owosso at the time that the contracts are returned by him/her for execution, a Certificate of Insurance as well as the required endorsements. In lieu of required endorsements, if applicable, a copy of the policy sections where coverage is provided for additional insured and cancellation notice would be acceptable.

If any of the above coverages expire during the term of this contract, the Contractor shall deliver renewal certificates and endorsements to the City of Owosso at least ten (10) days prior to the expiration date.

15. PROTECTION OF LAND MONUMENTS AND PROPERTY STAKES

Land monuments or stakes marking property corners shall not be moved or otherwise disturbed except as directed by the city. If any land monuments or lot stakes are moved or disturbed by the contractor, the cost of replacing each land monument or lot stake so moved or disturbed shall be deducted from any money due the contractor, as payment to the city for the cost of replacing said land monument or lot stakes.

16. CONTRACTOR'S RESPONSIBILITY FOR WORK

The contractor shall be responsible for any damages that the work may sustain before its acceptance, and shall rebuild, repair, restore and make good, at its own expense, all injuries and damages to any portion of the work by the action of the elements or from any cause whatsoever before its acceptance. Neither the final payment nor any provision in the contract documents shall relieve the contractor of the responsibility for negligence or faulty materials or workmanship within the extent and period provided by law, and, upon written notice, the contractor shall remove any defects due therefrom and pay for any damaged due to other work resulting therefrom, which shall appear within one year after the date of completion and acceptance.

17. PAYMENT

At monthly intervals commencing after construction has been started, the city will make partial payment to the contractor based on a duly-certified estimate prepared by the city of the work done by the contractor during the preceding four-week period. Each estimate will be submitted to the city council for approval on either the first or third Monday of each month. The city will retain ten percent (10%) of the amount of each such estimate until final completion and acceptance of all work covered by this contract. Before the contractor shall demand final estimates or payment, contractor will furnish to the city, supported by sworn statements, satisfactory evidence that all persons that have supplied labor, materials, or equipment for the work embraced under this contract have been fully paid for the same; and that, in case such evidence be not furnished as aforesaid, such sums as the city may deem necessary to meet the lawful claims of such persons may be retained by the city from any monies that may be due or become due to the contractor under this contract until such liabilities shall be fully discharged and the evidence thereof be furnished to the city.

18. CITY'S RIGHT TO WITHHOLD CERTAIN AMOUNTS AND MAKE APPLICATION THEREOF Besides the payment to be retained by the city under the preceding provisions of these general conditions, the city may withhold a sufficient amount of any payment otherwise due to the contractor to cover a) payments earned or due for just claims for furnish labor or materials on the project under this contract, b) for defective work not remedied and c) for failure of the contractor to make proper payments to subcontractors. The city shall disburse and shall have the right to act as agent for the contractor in disbursing such funds as have been previously withheld pursuant to this paragraph to the party or parties who are entitled to payment from it. The city will pay to the contractor a proper accounting of all such funds disbursed for the contractor.

19. OWNER'S RIGHT TO DO WORK

If the contractor should neglect to prosecute the work properly or fail to perform any provisions of this contract, the city, after three (3) days' written notice to the contractor and contractor's surety, may without prejudice to any other remedy he may have, make good such deficiencies and may deduct the cost of it from the payment due the contractor.

20. DEFINITION OF NOTICE

Where in any of the contract documents there is any provision in respect to the giving of notice, such notice shall be deemed given to the owner, when written notice is delivered to the city manager, or placed in the United States mail addressed to the city clerk; as to the contractor, when a written notice shall be delivered to contractor's representative at the project site or by mailing such written notice in the United States mail addressed to the contractor at the place stated in the bid proposal as the business address; as to the surety on the performance bond, when a written notice is placed in the United States mail addressed to the surety at the surety's home office or to its agent or agents who executed such performance bond on behalf of the surety.

21. SUBCONTRACTS

The contractor shall not subcontract any work in the execution of this contract without the written consent of the city. The contractor shall be responsible for the acts or omissions of any subcontractor and of anyone employed directly or indirectly by such subcontractor.

22. ASSIGNMENT OF CONTRACT

The contractor shall not assign this contract or any part hereof without the written consent of the city. No assignment shall be valid unless it shall contain a provision that any funds to be paid to the assignee under this agreement are subject to a prior lien for services rendered or materials or supplies for the performance of the work specified in the contract in favor of all persons, firms, or corporations rendering such services or supplying such materials.

23. MAINTAINING TRAFFIC

The contractor shall provide flares, signs, barricades, traffic regulators, etc., to conform to the current *Michigan Manual of Uniform Traffic Control Devices* or as directed by the city. The contractor shall not close any road or street without the permission of the city. If any street or road is to be closed by the contractor, it shall be the responsibility of the contractor to notify the Owosso fire department when the street will be closed and again when the street is open to traffic. Traffic control devices for any detours deemed necessary by the city shall be provided by the contractor. Cost of maintaining shall be incidental to the cost of the project unless otherwise provided.

24. ORDER OF COMPLETION

The contractor shall submit, whenever requested by the city, a schedule of the work showing completion dates. The city may request that certain portions of the work be done before other portions. If so requested, the contractor shall arrange to schedule to meet the request by the owner.

25. USE OF COMPLETED PORTIONS

The city shall have the right to take possession and use any completed or partially completed portions of the work; but such taking possession and use shall not be deemed acceptance. Pending final completion and acceptance of the work, all necessary repairs and adjustments on any section of the work due to defective material, workmanship, natural causes, or other operations of the contractor, other than normal wear and tear, shall be done by and at the expense of the contractor.

26. WATER SUPPLY

The contractor shall secure an adequate water supply for use in construction and for drinking water for his employees. If the city's water is used on the work, the contractor shall make the necessary application and shall pay all costs involved. Connections, piping and fittings for conveying water shall be furnished and maintained by the contractor. Contractor shall pay for water according to the city's established rates.

27. CLEANUP

The contractor shall keep the project free from waste materials or rubbish caused by its employees or work. This includes as a minimum excess excavation or backfill material, broken or rejected materials, empty containers or general debris. The owner may require complete cleanup of certain areas as construction is completed.

28. SUPERVISION

The contractor shall have a superintendent on the job site to coordinate and expedite the various construction activities for the duration of this contract.

29. EQUAL EMPLOYMENT OPPORTUNITY AND OTHER CLAUSES

The contractor shall agree not to discriminate against any employee or applicant for employment because of age, race, religion, color, handicap, sex, physical condition, developmental disability as defined by Michigan Complied Statutes, or national origin. This provision shall include but not be limited to the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rate of pay or other forms of compensation, and selection for training including apprenticeship. The contractor further agrees to take affirmative action to ensure equal employment opportunities for persons with disabilities. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provision of the non-discrimination clause.

LOCAL PREFERENCE POLICY

The following affidavit should be completed if a bidder is located within Shiawassee County or intends to sub-contract more than twenty-five percent (25%) to a Shiawassee County based business: The city of Owosso has a local preference policy for the purchase of goods and services as recorded in the city ordinance in section 2-348. "Lowest qualified bidder" defined.

- 1. The term "lowest qualified bidder," as used in this division, shall mean the lowest bidder having qualifications to perform the work which are satisfactory to the council. The lowest bidder shall be determined based on an adjusted bid tabulation which shall be prepared in the following manner: To the bid of any bidder which is neither a city-based business nor a county-based business shall be added an amount equal to six (6) percent of the bid or two thousand five hundred dollars (\$2,500.00), whichever is less.
- 2. To the bid of any bidder which is a county-based business shall be added an amount equal to three (3) percent of the bid or two thousand five hundred dollars (\$2,500.00), whichever is less; provided, however, that if no bid is received from a city-based business, no additional amount shall be added to the bid of a county-based business.
- 3. "Owosso-based business" shall be interpreted to mean a business registered with the county clerk or a corporation registered with the state having a business address within the city limits which pays real and/or personal property taxes levied by the city.
 - The term "county-based business" shall be interpreted to mean a business other than a city-based business registered with the county clerk or a corporation registered with the state having a business address within the county which pays real and/or personal property taxes levied by the county.
- 4. If twenty-five (25) percent or more of a contract for construction or other services is to be subcontracted by a city-based business bidder to a non-city-based business or businesses, or by a county-based business bidder to a non-county-based business or businesses, the adjusted bid shall be calculated by applying the provisions of this section separately to each portion of the contract based on the status of the contractor or subcontractor performing that portion of the contract as a city-based or county-based business.

AFFIDAVIT

In accordance with Section 2-348 of the Owosso city code, the bid from a business located in Shiawassee County shall be adjusted to reflect a preference. In order for the city to calculate the adjustment, the bidder hereby deposes and states that their business address is registered, and is currently paying real and/or personal property taxes in Shiawassee County at the following address:

Registered business address				
	nat a sub-contract with a business registered, and paying real assee County will be executed for a percentage equal to or as stated below:			
Busines	ss name and address of sub-contractor			
Percentage of contract				
	Authorized signature			
Date	Title			
	Company name			

SIGNATURE PAGE AND LEGAL STATUS

The undersigned certifies that he is an official legally authorized to bind his firm and to enter into a contract should the city accept this proposal.

Bid proposal	l by		(Name of Fi	rm)		
l ogal status	of hidder	Please check the	•	,	PECT I EGAI	NAME
_						
A. Corp	ooration	; State of Incor	poration			
B. Part	nership	; List of names				
C. DBA		; State full name	 e			DBA
D. Othe	er	_ ; Explain				
Signature of	Bidder	(Authorized Sig	gnature)	Title		
Printed	name					
Signature of	Bidder	(Authorized Sig	gnature)	Title		
Printed	name					
Address			City		_ Zip	
Telephone ()					
Signed this			day of	20_	·	
Bidder ackno	owledges r	eceipt of the follow	ing Addenda:			
	ADDE	NDUM NO.	BIDDER	'S INITIALS		
			-			

W-9 INFORMATION FOR LEGAL STATUS

Sole proprietor. Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name/disregarded entity name" line.

Partnership, C Corporation, or S Corporation. Enter the entity's name on the "Name" line and any business, trade, or "doing business as (DBA) name" on the "Business name/disregarded entity name" line.

Disregarded entity. Enter the owner's name on the "Name" line. The name of the entity entered on the "Name" line should never be a disregarded entity. The name on the "Name" line must be the name shown on the income tax return on which the income will be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a domestic owner, the domestic owner's name is required to be provided on the "Name" line. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on the "Business name/disregarded entity name" line. If the owner of the disregarded entity is a foreign person, you must complete an appropriate Form W-8.

Note. Check the appropriate box for the federal tax classification of the person whose name is entered on the "Name" line (Individual/sole proprietor, Partnership, C Corporation, S Corporation, Trust/estate).

Limited Liability Company (LLC). If the person identified on the "Name" line is an LLC, check the "Limited liability company" box only and enter the appropriate code for the tax classification in the space provided. If you are an LLC that is treated as a partnership for federal tax purposes, enter "P" for partnership. If you are an LLC that has filed a Form 8832 or a Form 2553 to be taxed as a corporation, enter "C" for C corporation or "S" for S corporation. If you are an LLC that is disregarded as an entity separate from its owner under Regulation section 301.7701-3 (except for employment and excise tax), do not check the LLC box unless the owner of the LLC (required to be identified on the "Name" line) is another LLC that is not disregarded for federal tax purposes. If the LLC is disregarded as an entity separate from its owner, enter the appropriate tax classification of the owner identified on the "Name" line.

Other entities. Enter your business name as shown on required federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name/disregarded entity name" line.

Please see attached W-9 Request for Taxpayer Identification Number and Certification form for a detailed explanation on filling out the W-9 form.



Request for Taxpayer Identification Number and Certification

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the requester. Do not send to the IRS.

	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.							
	2 Business name/disregarded entity name, if different from above							_
on page 3.	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one following seven boxes. Individual/sole proprietor or C Corporation S Corporation Partnership Trust/		certain	mptions (entities, tions on	not ir	ndividua		
e.	single-member LLC		Exemp	t payee c	ode (i	f any)_		
ty Stick	☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶							
Print or type. Specific Instructions on page	Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do no LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member is disregarded from the owner should check the appropriate box for the tax classification of its owner.	LLC is	code (tion from f any)	ı FAT	CA repo	orting	
čifi	Other (see instructions)		(Applies t	o accounts r	naintain	ed outside	the U.S.)	
Spe	5 Address (number, street, and apt. or suite no.) See instructions. Requester	s name a						—
See				(- [,			
S	6 City, state, and ZIP code							
	7 List account number(s) here (optional)							_
Pai	t I Taxpayer Identification Number (TIN)							_
	your the in the appropriate box. The the provided made material and name given on the avoid	ocial sec	urity nu	ımber				
	p withholding. For individuals, this is generally your social security number (SSN). However, for a							
	nt alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other s, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a</i>		-		-			
TIN, I								_
Note:	If the account is in more than one name, see the instructions for line 1. Also see What Name and	mployer	identifi	cation nu	umbe	r		
Numb	er To Give the Requester for guidelines on whose number to enter.							
			-					
Par	Certification							
Unde	penalties of perjury, I certify that:							
1. The 2. I ar Sei	number shown on this form is my correct taxpayer identification number (or I am waiting for a number in not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not vice (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividence onger subject to backup withholding; and	been n	otified	by the li	ntern			n
3. I ar	n a U.S. citizen or other U.S. person (defined below); and							

- 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid

acquisition	equisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments ther than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.				
Sign Here	Signature of U.S. person ▶	Date ▶			

General Instructions

Section references are to the Internal Revenue Code unless otherwise

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

• Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding,

By signing the filled-out form, you:

- 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
 - 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- 4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting*, later, for further information.

Note: If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- · An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States.

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity;
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust; and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

- 1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
 - 2. The treaty article addressing the income.
- 3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
- 4. The type and amount of income that qualifies for the exemption from tax.
- 5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

Backup Withholding

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 24% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

- 1. You do not furnish your TIN to the requester,
- 2. You do not certify your TIN when required (see the instructions for Part II for details),
 - 3. The IRS tells the requester that you furnished an incorrect TIN,
- 4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
- 5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

Also see Special rules for partnerships, earlier.

What is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account; for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

a. **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

Note: ITIN applicant: Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040/1040A/1040EZ you filed with your application.

- b. **Sole proprietor or single-member LLC.** Enter your individual name as shown on your 1040/1040A/1040EZ on line 1. You may enter your business, trade, or "doing business as" (DBA) name on line 2.
- c. Partnership, LLC that is not a single-member LLC, C corporation, or S corporation. Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.
- d. **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on line 2.
- e. **Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulations section 301.7701-2(c)(2)(iii). Enter the owner's name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2, "Business name/disregarded entity name." If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, you may enter it on line 2.

Line 3

Check the appropriate box on line 3 for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3.

IF the entity/person on line 1 is a(n)	THEN check the box for
Corporation	Corporation
Individual Sole proprietorship, or Single-member limited liability company (LLC) owned by an individual and disregarded for U.S. federal tax purposes.	Individual/sole proprietor or single- member LLC
LLC treated as a partnership for U.S. federal tax purposes, LLC that has filed Form 8832 or 2553 to be taxed as a corporation, or LLC that is disregarded as an entity separate from its owner but the owner is another LLC that is not disregarded for U.S. federal tax purposes.	Limited liability company and enter the appropriate tax classification. (P= Partnership; C= C corporation; or S= S corporation)
Partnership	Partnership
Trust/estate	Trust/estate

Line 4, Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space in line 4.

- 1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2-The United States or any of its agencies or instrumentalities
- 3—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5-A corporation
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission
- 8-A real estate investment trust
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940
- 10-A common trust fund operated by a bank under section 584(a)
- 11-A financial institution
- 12-A middleman known in the investment community as a nominee or custodian
- 13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for	THEN the payment is exempt for	
Interest and dividend payments	All exempt payees except for 7	
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.	
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4	
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 5 ²	
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4	

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) written or printed on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)

B-The United States or any of its agencies or instrumentalities

C—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities

D-A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i)

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i)

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state

G-A real estate investment trust

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940

I-A common trust fund as defined in section 584(a)

J-A bank as defined in section 581

K-A broker

L—A trust exempt from tax under section 664 or described in section 4947(a)(1)

M-A tax exempt trust under a section 403(b) plan or section 457(g) plan

Note: You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, write NEW at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

Line 6

Enter your city, state, and ZIP code.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note: See *What Name and Number To Give the Requester,* later, for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at www.SSA.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/Businesses and clicking on Employer Identification Number (EIN) under Starting a Business. Go to www.irs.gov/Forms to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to www.irs.gov/OrderForms to place an order and have Form W-7 and/or SS-4 mailed to you within 10 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note: Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

- 1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.
- 2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.
- **3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.
- **4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).
- 5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

	•	
For this type of account:	Give name and SSN of:	
1. Individual	The individual	
Two or more individuals (joint account) other than an account maintained by an FFI	The actual owner of the account or, if combined funds, the first individual on the account 1	
3. Two or more U.S. persons (joint account maintained by an FFI)	Each holder of the account	
Custodial account of a minor (Uniform Gift to Minors Act)	The minor ²	
5. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee ¹	
b. So-called trust account that is not a legal or valid trust under state law	The actual owner ¹	
Sole proprietorship or disregarded entity owned by an individual	The owner ³	
7. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulations section 1.671-4(b)(2)(i) (A))	The grantor*	
For this type of account:	Give name and EIN of:	
Disregarded entity not owned by an individual	The owner	
9. A valid trust, estate, or pension trust	Legal entity ⁴	
10. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation	
Association, club, religious, charitable, educational, or other tax- exempt organization	The organization	
12. Partnership or multi-member LLC	The partnership	
13. A broker or registered nominee	The broker or nominee	

For this type of account:	Give name and EIN of:
14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
15. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulations section 1.671-4(b)(2)(i)(B))	The trust

- ¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.
- ² Circle the minor's name and furnish the minor's SSN.
- ³ You must show your individual name and you may also enter your business or DBA name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.
- ⁴ List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships*, earlier.

*Note: The grantor also must provide a Form W-9 to trustee of trust.

Note: If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information such as your name, SSN, or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN.
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes. Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to <code>phishing@irs.gov</code>. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at <code>spam@uce.gov</code> or report them at <code>www.ftc.gov/complaint</code>. You can contact the FTC at <code>www.ftc.gov/idtheft</code> or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see <code>www.ldentityTheft.gov</code> and Pub. 5027.

Visit www.irs.gov/IdentityTheft to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.

Page 6

PROOF OF INSURANCE

This is to certify that the following endorsement is part of the policy(ies) described below:

NAMED INSURED (CONTRACTOR)	COMPANIES AFFORDING COVERAGE	
	A.	
	В.	
ADDRESS	C.	

It is hereby understood and agreed that the city of Owosso, its city council and each member thereof and every officer and employee of the city shall be named as joint and several assureds with respect to claims arising out of the following project:

2021 Retaining Wall Project

It is further agreed that the following indemnity agreement between the city of Owosso and the named insured is covered under this policy: Contractor agrees to indemnify, hold harmless and defend city, its city council and each member thereof and every officer and employee of city from any and all liability or financial loss resulting from any suits, claims, losses or actions brought against and from all costs and expenses of litigation brought against city, its city council and each member thereof and any officer or employee of city which results directly or indirectly from the wrongful or negligent actions of contractor's officers, employees, agents or others employed by Contractor while engaged by contractor in the (performance of this agreement) construction of this project.

It is further agreed that the inclusion of more than one assured shall not operate to increase the limit of the company's liability and that insurer waives any right on contribution with insurance which may be available to the city of Owosso.

The contractor, or any of their subcontractors, shall not commence work under this contract until they have attained the insurance required below, and shall keep such insurance in force during the entire life of this contract. All coverage shall be with insurance companies licensed and admitted to do business in the State of Michigan and acceptable to the city of Owosso. The requirements below should not be interpreted to limit the liability of the Contractor. All deductibles and SIR's are the responsibility of the Contractor.

The Contractor shall procure and maintain the following insurance coverage:

- **1. Worker's Compensation Insurance** including Employers' Liability Coverage, in accordance with all applicable statutes of the State of Michigan.
- **2. Commercial General Liability Insurance** on an "Occurrence Basis" with limits of liability not less than \$1,000,000 per occurrence and aggregate. Coverage shall include the following extensions: (A) Contractual Liability; (B) Products and Completed Operations; (C) Independent Contractors Coverage; (D) Broad Form General Liability Extensions or equivalent, if not already included.
- **3. Automobile Liability** including Michigan No-Fault Coverages, with limits of liability not less than \$1,000,000 per occurrence, combined single limit for Bodily Injury, and Property Damage. Coverage shall include all owned vehicles, all non-owned vehicles, and all hired vehicles.
- **4. Additional Insured:** Commercial General Liability and Automobile Liability, as described above, shall include an endorsement stating the following shall be **Additional Insureds:** City of Owosso, all elected and appointed officials, all employees and volunteers, all boards, commissions, and/or authorities and board members, including employees and volunteers thereof. It is understood and agreed by naming City of Owosso as additional insured, coverage afforded is considered to be primary and any other insurance the city of Owosso may have in effect shall be considered secondary and/or excess
- **5. Cancellation Notice:** All policies, as described above, shall include an endorsement stating that it is understood and agreed that a Ten (10) days notice for non-payment of premium is required and a Thirty (30) days notice is required for Non-Renewal, Reduction, and/or Material Change, shall be sent to: City of Owosso, Bid Coordinator, 301 W. Main Street, Owosso, Michigan 48867.

6. **Proof of Insurance Coverage**: The Contractor shall provide the city of Owosso, at the time that the contracts are returned by him/her for execution, a Certificate of Insurance as well as the required endorsements. In lieu of required endorsements, if applicable, a copy of the policy sections where coverage is provided for additional insured and cancellation notice would be acceptable. Copies or certified copies of all policies mentioned above shall be furnished, if so requested.

If any of the above coverages expire during the term of this contract, the Contractor shall deliver renewal certificates and endorsements to the city of Owosso at least ten (10) days prior to the expiration date.

Please include a copy of insurance declaration verifying amounts of coverage. The verification of insurance is not an insurance policy and does not amend, extend or alter the coverage afforded by the policies listed herein. Notwithstanding any requirement, term, or condition of any contract or other document with respect to which this certificate or verification of insurance may be issued or may pertain, the insurance afforded by the policies described herein is subject to all the terms, exclusions and conditions of such policies.

DATE	BYAuthorized Insurance Agent
AGENCY	TITLE
ADDRESS	

PROGRESS CLAUSE

City of Owosso/CW

1 OF 2

January, 2021

The Contractor shall submit at the pre-construction meeting a complete Progress Schedule to the City Engineer. The Progress Schedule shall include, as a minimum, the controlling work items for the completion of the project and the planned dates that these work items will be the controlling operations: all for each of the two project work sites. All Contract dates including open to traffic, project completion, holidays, and other controlling dates of the Contract must be included in the progress schedule. All specific work requirements of the 'Maintaining Traffic' Special Provision that affect the Progress Schedule shall be incorporated within the Progress Schedule.

Geotechnical investigation and design work shall start by June 7, 2021. Work on the retaining wall shall not commence until the geotechnical design is approved by the City of Owosso. Do not start work on the retaining wall until the date approved by the Engineer, which date must be no earlier than July 6, 2021, but must start within 10 days after July 6, 2021.

The project must be completely open to pedestrian traffic on or before September 10, 2021. Failure to complete all work within these dates will result in the Contractor being assessed liquidated damages in accordance with subsection 108.10.C.1 of the MDOT 2012 Standard Specifications for Construction.

Unless specific pay items are provided in the Contract, any extra costs incurred by the Contractor due to cold-weather protection, winter grading, sufficient manpower and equipment necessary to maintain the schedule, and/or meet final completion date, and any overtime; will not be paid for separately, but will be included in payment of other contract items.

After award and prior to the start work date, the Contractor must attend a preconstruction meeting with the Engineer. The Engineer will determine the day, time and place for the preconstruction meeting. The Contractor is encouraged to contact any of its sub-contractors to attend the preconstruction meeting. The Contractor and its sub-contractors shall submit a Progress Schedule at the preconstruction meeting and be ready to discuss same at the meeting.

Contractor must limit work method so as to preserve existing trees within the work influence area. Any alterations (trimming, etc.) to existing trees will be at sole discretion of the City of Owosso. Heavy work items such as structure removal and wall construction will be limited to small enough equipment so as to minimize alterations to trees.

The existing fencing around the existing wall shall be preserved during geotechnical work. The Contractor can remove sections of the fencing as needed to get their equipment into the work zone, but must restore the fencing after work is completed. Once construction on the wall begins and the contractor has constructed their temporary fencing, the existing fencing shall be removed and salvaged. City of Owosso DPW will remove the salvaged fencing from the work zone. All costs are included as part of other items.

2 OF 2

The Contractor shall coordinate their work with the City of Owosso DPW crews to relocate or reroute electrical lines and lighting fixtures. Before the existing wall is removed, DPW crews will remove and relocate or reroute the existing electrical lines. Necessary lighting fixtures and bases will also be removed by DPW crews. During the backfill process, DPW crews will move the electrical lines back into place and run new conduit as needed for relocating the lighting fixtures. DPW crews will pour new bases and erect the lighting fixtures. The Contractor shall give as much notice to the City of Owosso DPW as possible, preferably one week.

If handicap parking at City Hall needs to be relocated, the Contractor shall give the City one week notice to make accommodations.

Tree removal and trimming will occur prior to construction by Owosso DPW crews.

Failure on the Contractor's part to carry out the provisions of this Project Clause may be considered sufficient cause to prevent Contractor from bidding future projects.

NOTICE TO BIDDERS

UTILITY COORDINATION

City of Owosso/CW 1 of 2 January, 2021

The Contractor shall cooperate and coordinate construction activities with the owners of utilities as stated in Section 104.08 of the Michigan Department of Transportation 2012 Standard Specifications for Construction. In addition, for the protection of underground utilities, the contractor shall follow the requirements in Section 107.12 of the Michigan Department of Transportation 2012 Standard Specifications for Construction. Contractor delay claims, resulting from a utility, will be determined based upon Section 108.09 of the Michigan Department of Transportation 2012 Standard Specifications for Construction.

For protection of underground utilities and in conformance with Public Act 53, the Contractor shall dial 1-800-482-7171 (or 811) a minimum of three full working days, excluding Saturdays, Sundays, and holidays prior to beginning each excavation in areas where public utilities have not been previously located. Members will thus be routinely notified. This does not relieve the Contractor of the responsibility of notifying utility owners who may not be a part of the "Miss Dig" alert system.

The following Public Utilities have facilities located in the road right-of-way or project area:

NAME AND ADDRESS OF OWNER	KIND OF UTILITY	PHONE NUMBER
Charter Communication 1480 S. Valley Center Dr Bay City, Michigan 48706	Cable Television mark.	(989) 233-9404 Mark Kelly kelly@charter.com
Frontier 1943 W. M-21 Owosso, Michigan 48867	Fiber	(989) 723-0373 Mark Stevens rk.stevens@ftr.com
Consumers Energy 530 West Willow Street PO Box 30162 Lansing, Michigan 48909	Gas adam.bertrar	(517) 614-8570 Adam Bertram m@cmsenergy.com
Consumers Energy 530 West Willow Street PO Box 30162 Lansing, Michigan 48906	Electric tracy.maha	(989) 729-3250 Tracy Mahar ar@cmsenergy.com
City of Owosso 301 W. Main Street Owosso, Michigan 48867	Water glenn.chinavar	(989) 725-0555 Glenn Chinavare re@ci.owosso.mi.us

City of Owosso Sanitary Sewer (989) 725-0555
301 W. Main Street Glenn Chinavare
Owosso, Michigan 48867 glenn.chinavare@ci.owosso.mi.us

Daystarr Communications Fiber (989) 720-6023
307 N. Ball Street Brent Klein
Owosso, MI 48867 brent.klein@daystarrfiber.net

City Engineer Road and Storm Drainage (989) 725-0550
301 W. Main Street Randy Chesney, P.E.
Owosso, Michigan 48867 randy.chesney@ci.owosso.mi.us

Soil Erosion and Sedimentation Control Soil Erosion and (989) 743-2289
Shiawassee County Health Department Sedimentation Control Casey Elliot, REHS Environmental Health Division celliott@shiawasseechd.net

201 N. Shiwassee Street
Corunna, MI 48817

The owners of existing service facilities that are within grading or structure limits and in conflict will move them to locations designated by the Construction Engineer or will remove them entirely from the highway Right-of-Way. Owners of Public Utilities will not be required by the City of Owosso to move additional poles or structures in order to facilitate the operation of construction equipment unless it is determined by the Construction Engineer that such poles or structures constitute a hazard to the public or are extraordinarily dangerous to the contractor's operations.

The existing utilities shown on the plans represent the best information available as obtained from survey and existing records. This information does not relieve the Contractor of the responsibility of protecting all existing utilities, in case utilities have been constructed or removed since the survey date or if utilities are encountered in different locations or if any utilities are not shown on the plans.

All existing utilities shall be located as to both horizontal and vertical position prior to starting any utility construction or other excavation. Cost shall be included in the new utility or excavation pay item.

The Contractor's attention is directed to the requirements for cooperation with others, as covered in Section 104.08 of the MDOT 2012 Standard Specification for Construction.

UTILITY DAMAGE

The Contractor shall be responsible for the protection of all existing utilities during construction of this project. Any utilities damaged by the Contractor shall be repaired in accordance with the related utilities specifications at the Contractor's expense.

UTILITY REPLACEMENTS

There are no utility replacements for the project. Owosso DPW will relocate/reroute electric lines prior to the project start and during backfill.

SPECIAL PROVISION FOR TECHNICAL SPECIFICATIONS

City of Owosso/RC 1 OF 1 Nov, 2019

General Requirement

The MDOT 2012 STANDARD SPECIFICATIONS FOR CONSTRUCTION shall govern all technical specifications for this project. The following parts of the Contract will prevail over all other parts in the following order:

- 1. Special Provisions.
- 2. Supplemental Specifications.
- 3. Project Plans and Drawings.
- 4. MDOT Standard Plans.
- 5. 2012 Standard Specifications
- 6. City of Owosso Standard Specifications.

The Contractor shall not take advantage of any apparent error or omission in the contract documents. If any uncertainty, inconsistency, omission, or conflict is discovered within the contract documents, the Engineer will solely decide as to the true intent of the language.

SPECIAL PROVISION FOR MAINTAINING TRAFFIC

City of Owosso/RC 1 OF 1 Nov, 2019

GENERAL REQUIREMENTS

The work of Maintaining Traffic shall include furnish, erect, maintain, move and adjust, and-upon completion of work-remove all traffic control devices, barricades, and fencing within the project limits and around the perimeter of the project.

Walks, driveways, and entrances to City Hall shall not be unnecessarily blocked. Work space inside City Hall parking lot shall be minimized so as not disrupt internal traffic flow. Construction shall be completed in such a manner as to maintain the handicapped entrance to City Hall at all times.

The Contractor shall identify and coordinate any removal of permanent signs within the project influence area, with the Engineer.

Protection for and protection of pedestrian traffic shall be maintained at all times. Contractor shall place pedestrian barricades at both ends of the project as indicated on the plans.

CONSTRUCTION INFLUENCE AREA (CIA)

The CIA of the project shall include the designated work area as shown within the project plans and any other areas deemed necessary for wall construction. Should the Contractor desire to utilize a traffic lane on M-21 (Main Street) for work use and or delivery, then the Contractor must apply and coordinate road use under MDOT permit.

The Contractor is responsible for coordinating construction activities with all local businesses and residences; keeping them advised before and during construction activities as to their access and potential disruption of access.

The Contractor shall schedule work between the hours of 7:00 am and 7:00 pm, Monday through Saturday. No work is allowed outside these time periods. The Contractor shall coordinate work so that any necessary preliminary or closing operations are also done within these time periods.

No work will be allowed on national holidays Memorial Day, July 4, Labor Day holiday periods commencing 3:00 pm Friday before and 7:00 am workday after the national holiday.

Contractor will be limited to haul routes in accordance with City of Owosso Truck Route system. Any deviation from this requirement must receive advance approval by the City Engineer.

MEASUREMENT AND PAYMENT:

The work of Maintaining Traffic will not be paid for separately, but is considered as part of other major work items.

SPECIAL PROVISION FOR KEYSTONE RETAINING WALL SYSTEM, COMPLETE

CITY OF OWOSSO/CW 1 OF 2 APRIL, 2020

GENERAL:

Keystone Retaining Wall System, Complete (hereinafter referred to as Wall System) shall consist of all material, labor, and equipment necessary to construct a segmental block wall, excavate, backfill, and pedestrian grade fence; altogether with related work items; as shown on the project plans. Installation must be performed by experienced installer possessing 50,000 square feet of similar type installation experience.

MATERIALS:

Wall System shall include the following materials:

- 1. Segmental block wall shall consist of Keystone Standard Series I block as manufactured by Keystone Retaining Wall Systems. Block shall be straight face type and 21" in depth. Wall System shall be a standard unit 1" setback, gravity application using geo-synthetic reinforcement from bottom of grade to finish grade. Horizontal geo-synthetic reinforcement shall be Miragrid 5XT geogrid. Spacing of geogrid shall be in accordance with Keystone Retaining Wall Stystem's design recommendations to meet or exceed Goetechnical design bearing recommendations, and as approved by the City of Owosso. End returns shall be constructed for each block layer with corner stones and minimum one block into earth grade. Top of wall shall be finished with cap stone for full length of block wall. The color of the block shall be Desert Brown. Vertica Pro segmental block as manufactured by Anchor Wall Systems, Inc. will be accepted as an alternate product. Bidder shall note the use of the Vertica Pro segmental block on their bid sheet.
- 2. A crushed stone leveling pad shall be constructed with 21AA crushed limestone beneath the Wall System, per construction plans and details. Drainage backfill shall be MDOT grade 6A crushed stone placed 24 inches behind Wall System, completely filling void area and encapsulated by Mirafi 180N nonwoven geotextile, per construction plans and details. The drainage backfill shall include a drainage system that shall be in accordance with Keystone Retaining Wall System's design recommendations and the construction plans and details. Structural backfill shall be a material in accordance with Keystone Retaining Wall System's design recommendation and placed per construction plans and details. Structural backfill shall be constructed up to within one foot of finish grade.
- Last one foot of fill shall be combination of geotextile separator, 8 inches MDOT grade Granular Material, Class II compacted per MDOT specifications, and four inches compacted topsoil to finish grade or 6 inch of concrete sidewalk to finish grade. Top soil shall be evenly sloped and free of clumps.
- 4. Pedestrian fence shall consist of MRC-20 Commercial Fence as manufactured by Royal Aluminum & Steel, Inc. Fence height shall be 5'-0" from bottom rail to top rail, fitted onto 2½" corner posts and 2" intermediate posts, with foundations, per manufacturer recommendations. Picket spacing shall be 3¾". Wall post detail shall be 'befree' type per project plans and details. Fence finish color shall be black. Fence foundation shall be constructed with Strata Sleeve-It 1224R System filled with concrete, MDOT grade P1, at diameter and depth per manufacturer recommendations.

CONSTRUCTION METHOD:

Installation must be performed in accordance with manufacturer procedures. Prepare existing soil in advance of first layer of Geogrid, geotextile separator, and stone base. Depth of bury shall be in accordance with project plans or per manufacturer recommendations, whichever is greater. Begin constructing wall system on top of prepared stone base. Every block layer shall be set and leveled as to grade with no visible gap in seams. Structural backfill shall be completed before beginning the next block layer. Build block wall to finish grade. Finish backfilling and fence system in a timely manner.

MEASUREMENT AND PAYMENT

The completed work as measured for Keystone Retaining Wall System, Complete will be paid for by the lump sum at the Contract Unit Price for the following Contract Item (Pay Item):

Contract Item (Pay Item)

Pay Unit

Keystone Retaining Wall System, Complete

LSUM

Keystone Retaining Wall System, Complete will be measured in whole by the lump sum; and will be paid for at the contract unit price per lump sum, which price shall be payment in full for all labor, material, and equipment needed to accomplish this work.

SPECIAL PROVISION FOR CONCRETE PAY ITEMS

City of Owosso/RC 1 OF 1 Nov, 2019

Description

This work shall be done in accordance with sections 601 and 701 of the MDOT 2012 Standard Specifications for Construction, except as modified herein. This special provision indicates the type of concrete mixture to be used for pay items related to curb and gutter, drive approaches, and sidewalks.

Materials

Cement content for Concrete, Grades S2 and P1, shall be 564 pounds per cubic yard (6 Sack) for all concrete items, in accordance with Sections 601 and 701 of the MDOT 2012 Standard Specifications for Construction. Concrete mix shall not include fly ash or water reducing agents.

Construction

Construction of concrete related items including but not limited to curb and gutter, driveways, sidewalks, ADA ramps shall be done in accordance with the MDOT 2012 Standard Specifications for Construction, or as directed by the Engineer.

Measurement and Payment

Payment for the completed work for the various concrete pay items in this contract using a six full sack mix shall be included as part of their individual unit prices.

SPECIAL PROVISION FOR GEOTECHNICAL INVESTIGATION AND DESIGN

CITY OF OWOSSO/CW 1 OF 1 NOV, 2019

GENERAL:

Contractor shall perform Geotechnical Investigation and Design. Contractor shall perform soil borings and extract soil samples from the site. The soil shall then be analyzed to determine the unit weight, angle of internal friction, and any other characteristics needed. Using this information, a geosynthetic reinforcement design shall be created. A report of the soil characteristics and design shall be shared with the City of Owosso. The Contractor shall not proceed until the design is accepted by the City of Owosso. The analysis and report shall be done under the supervision of a qualified professional engineer licensed in the State of Michigan.

MEASUREMENT AND PAYMENT

The completed work as measured for Geotechnical Investigation and Design will be paid for at the Contract Unit Price for the following Contract Item (Pay Item):

Contract Item (Pay Item)

Geotechnical Investigation and Design

LSUM

Geotechnical Investigation and Design will be measured in whole by Lump Sum; and will be paid for at the contract unit price per Lump Sum, which price shall be payment in full for all labor, material, and equipment needed to accomplish this work.

June 12, 2014 Geotechnical Evaluation FOR INFORMATION ONLY



Soil and Materials Engineers, Inc. 2663 Eaton Rapids Road Lansing, MI 48911-6310

> tel (517) 887-9181 fax (517) 887-2666

www.sme-usa.com

Kenneth W. Kramer, PE Founder

Mark K. Kramer, PE
Timothy H. Bedenis, PE
Andrew J. Emmert, CPA
Chuck A. Gemayel, PE
James M. Harless, PhD, CHMM
Dave J. Hurlburt, PE
Larry P. Jedele, PE, D.GE
Cheryl A. Kehres-Dietrich, CGWP
Gerard P. Madej, PE
Michael S. Meddock, PE
Timothy J. Mitchell, PE
Robert C. Rabeler, PE
Daniel O. Roeser, PG
Larry W. Shook, PE

Melinda L. Bacon, PE Christopher R. Byrum, PhD, PE Daniel R. Cassidy, CPG John E. Dingeldein, PE Sheryl K. Fountain, SPHR Mark A. Halloway, OHST Anthony L. Jarem, PE Laurel M. Johnson, PE Jeffery M. Krusinga, PE, GE Jeffrey R. Lanier, PE Louis J. Northouse, PE Rohan W. Perera, PhD, PE Joel W. Rinkel, PE Jason A. Schwartzenberger, PE Thomas H. Skotzke Michael J. Thelen, PE, D.GE Anthony B. Thomas, PE John C. Zarzecki, CET, CDT, NDE

June 12, 2014

Mr. Tony Heriford, PE Civil Engineer Fishbeck, Thompson, Carr & Huber, Inc. 5913 Executive Drive, Suite 100 Lansing, Michigan 48911

Via electronic mail: adheriford@ftch.com (PDF file)

RE: Geotechnical Evaluation
2014 Downtown Infrastructure Improvements – Part 2
City of Owosso, Michigan
SME Project No. 069852.00

Dear Mr. Heriford:

Soil and Materials Engineers, Inc. (SME) has completed the geotechnical evaluation for the proposed 2014 Downtown Infrastructure Improvements – Part 2 project.

We performed our services in general accordance with the scope of services outlined in our Confirmation of Geotechnical Evaluation Services letter (SME Proposal No. P01290.14) dated May 22, 2013. Fishbeck, Thompson, Carr & Huber, Inc. (FTC&H) authorized our services.

SITE AND PROJECT DESCRIPTIONS

The project site (an existing retaining wall) is located on the east bank of the Shiawassee River just south of M-21. The attached Boring Location Diagram shows the approximate site location. The existing wall extends about 110 feet north-south and retains as much as 9 feet of earth. The north end of the wall begins at the south end of the existing M-21 bridge abutment (Abutment B). FTC&H provided existing plan drawings of the M-21 bridge (State of Michigan Department of State Highways Plans of Proposed Bridge State Project BOI of 76062C and the "Wall and Sidewalk West Side of City Hall plan," dated August 8, 1982, prepared by the City of Owosso. These plans indicated the existing M-21 bridge abutment foundation is 6.5 feet wide and bears at elevation 714 feet. Rubble fill is shown as backfill behind the existing abutment wall and as backfill beneath the sidewalk in front of the existing abutment wall. These plans also include six test holes (TH1 through TH6).



© 2014 Soil and Materials Engineers, Inc.

The ground surface at the toe of the wall consists of a concrete sidewalk. Mostly lawn extends behind the wall, except for a concrete sidewalk that runs parallel and just east of the wall. The Schematic Design Plan, dated May 7, 2014, prepared by FTC&H provides 1-foot topographic contours of the project site. At the top of the wall, existing grades range from about elevations 727 feet and descend to the south. At the wall toe, existing grades range from about elevations 727 to 721 feet and descend to the north.

The project consists of the design and construction of a new cast-in-place concrete cantilever retaining wall to replace the deteriorating existing wall. The new wall will include stairs near the existing M-21 abutment to access the existing river trail. Existing grade elevations at the top and toe of the wall will remain about the same. The river walk sidewalk at the wall toe will be replaced. The sidewalk behind the wall toe will not be replaced. FTC&H indicated the retaining wall foundation will bear about 3.5 to 4 feet below exterior grades at the river walk sidewalk. Therefore, we anticipate the wall foundations will bear between about elevations 717 to 723 feet. FTC&H plans to lower the wall foundation adjacent to the existing M-21 bridge abutment foundation so the new and existing foundation bearing levels match (elevation 714 feet).

EVALUATION PROCEDURES

We drilled two borings, B1 and B2, at the site on May 21, 2014. The attached Boring Location Diagram shows the approximate locations of the borings. The attached boring logs and the Boring Log Terminology sheet provides additional details about the boring procedures, including drill rig type, boring depths, and drilling and backfilling methods.

SME and FTC&H determined the number and locations of the borings and SME determined the boring depths. We staked the borings in the field using a measuring wheel and referencing existing site features. SME estimated the existing ground surface elevations to the nearest 1-foot at the boring locations, based on the topographic contours provided on the referenced Schematic Design Plan.

The drillers reported groundwater levels during and immediately after completion of each boring. Therefore, we did not obtain long-term groundwater levels from the borings.

We returned the recovered soil samples from the field exploration to the SME laboratory for further observation and testing.

Laboratory testing consisted of visual soil classification on the recovered samples based on the procedures outlined in ASTM D2488, along with moisture content and hand penetrometer tests on portions of cohesive samples obtained. The attached Laboratory Testing Procedures describes these tests. We based the laboratory testing of rock samples on procedures adapted from the NAVFAC Design Manual DM-7.1 and ASCE's Subsurface Investigation for Design and Construction of Foundations of Buildings.



Boring logs were then prepared and include materials encountered, penetration resistances, pertinent field observations made during the drilling operations, results of certain laboratory tests, and existing ground surface elevations. The Boring Log Terminology sheet provides explanations of the symbols and terms used on the boring logs.

The samples after laboratory testing are subject to moisture loss and are no longer representative of the field conditions. Therefore, we retain soil and rock samples in our laboratory for 60 days and then dispose of them, unless instructed otherwise.

SUBSURFACE CONDITIONS

Soil and Rock Conditions

The conditions encountered at the boring locations generally consisted of topsoil overlying existing sand fill, then natural sands and clays over weathered sandstone to the explored boring depth. A generalized summary of the conditions encountered in the borings is given below, beginning at the existing ground surface and proceeding downward:

Stratum 1: Topsoil. The ground surface consisted of about 4 or 8 inches of topsoil at borings B1 and B2, respectively.

Stratum 2: Existing Sand Fill. Existing sand fill extended below the topsoil to about 13 and 14 feet below grade or to about elevations 714 and 718 feet at borings B1 and B2, respectively. The fill was silty or clayey and classified as "SC" or "SM". Portions of the fill contained concrete and/or brick pieces. Standard Penetration Test (SPT) resistances (N-values) ranged from 5 to 21 blows per foot (bpf) indicating a loose to medium dense condition. However, we recorded 50 blows in 5 inches of sampler penetration at sample SB1 at boring B2, which likely does not represent the in-situ strength since we believe the sampler encountered concrete and brick in the fill.

Stratum 3: Natural Sands and Clays. Natural sands extended below the fill at boring B2 and extended to about 22.5 feet below grade or to about elevation 709.5 feet. The sands contained relatively low amounts of silt and classified as "SP" in accordance with the USCS. N-values ranged from 6 to 12 bpf indicating a loose to medium dense condition.

Natural clay extended below the fill at boring B1 to about 14 feet below grade or to about elevations 713 feet. The natural clay exhibited a hard consistency with an undrained shear strength of greater than 4.5 kips per square-foot (ksf). The moisture content was about 12 percent.

Stratum 3: Weathered Sandstone. Weathered sandstone extended below the natural sands or clays, or below about elevations 713 to 710 feet, and to the explored boring depths. Sandstone was reported in TH1 and TH2 for the existing M-21 bridge below about elevations 711 to 710 feet.



The profile described above and included on the boring logs is a generalized description of the conditions encountered. The stratification depths shown on the boring logs and described above are intended to indicate a zone of transition from one soil or rock type to another. They are not intended to show exact depths of change from one soil or rock type to another. Conditions may be different in areas other than at the boring locations. Please refer to the boring logs for the conditions at the specific boring locations. The descriptions are based on visual classification of the encountered.

Groundwater Conditions

The drillers reported groundwater at borings B1 and B2 during drilling about 8 and 14 feet below the ground surface or at about elevations 719 and 718 feet and after drilling about 21 and 16 feet below grade or at about elevations 706 and 716 feet, respectively.

Based on the mostly sandy profile overlying the sandstone at this site, and proximity to the Shiawassee River, we believe the site groundwater levels will be near the levels of the river. As such, expect the hydrostatic groundwater levels and the potential rate of infiltration into excavations to fluctuate throughout the year, based on variations in precipitation, evaporation, run-off, the level of the Shiawassee River, flood events, and other factors. The groundwater levels indicated by the borings represent conditions at the time the readings were taken. The actual groundwater levels at the time of construction could vary.

ANALYSIS AND RECOMMENDATIONS

The bearing level(s) of the existing retaining wall to be replaced was not available. If available, construction drawings for the existing wall to be replaced should be reviewed to identify potential impacts on construction. If construction drawings are not available, test pits could be performed prior to construction to verify existing wall conditions.

At the planned wall foundation bearing level between about elevations 714 and 723 feet, we anticipate natural sands or as much as about 9 feet of existing sand fill over natural soils. However, sandstone could be encountered at isolated locations along the proposed foundation alignment. The natural sands, and if encountered sandstone, are suitable to support the proposed retaining wall foundations. The existing fill can be considered to support the proposed retaining wall foundations provided the Owner is willing to accept increasing risks of poor performance resulting from leaving the fill in-place. If the Owner is unwilling to accept the increased risks, then the existing fill must be completely removed and replaced with engineered fill. FTC&H indicated the project does not have sufficient funding to completely remove the existing fill from below planned wall foundation locations. Therefore, FTC&H indicated leaving some fill in-place and accepting performance risk is acceptable. We believe constructing the wall foundations over the existing fill is reasonable, but there will be some risks of poor performance. The risks can be mitigated, but not removed, if the recommendations in this report are followed. We anticipate the remaining risks include settlements that may cause cosmetic cracking of the wall, but not structural damage.



Based on the borings, we anticipate some foundation subgrade improvement and needing imported MDOT Class II or IIA sand for the retaining wall backfill. Therefore, we recommend obtaining unit prices from prospective contractors for removal and replacement of unsuitable fill materials, removal of excess site materials, removal of existing foundations, and importing, placing and compacting engineered fill materials for foundation subgrade undercuts and for wall backfill. We recommend a contingency for this work be included in the project construction budget.

Dewatering Considerations

As indicated above, based on the mostly sandy profile overlying the sandstone at this site, and proximity to the Shiawassee River, we believe the site groundwater levels will be near the level of the river at the time of construction. The specific amount and type of dewatering required to temporarily lower the groundwater level below needed excavation depths could be significant and relatively costly and will be dependent on the level of the river at the time of construction and needed excavation depth. We recommend performing the wall excavation and construction during seasonally low river levels to potentially reduce the amount of dewatering.

The deepest foundation excavation will extend to about elevation 714 feet to match the new wall foundation with the M-21 bridge abutment foundation. Otherwise, we anticipate the foundation excavation will remain at or above about elevation 717 feet, except for foundation subgrade undercuts. The new wall foundation could be supported at shallow depths if load transfer of the new wall to the existing foundations is acceptable. Alternatively, the new wall foundation could be supported on a deep foundation system, such as helical piers. Our specific foundation recommendations are presented in the "Foundation" section. Situating the foundation wall at shallower depths could reduce the amount of needed dewatering.

We recommend groundwater levels be lowered at least 1-foot below the bottom of excavations to mitigate the potential for disturbance of the subgrade and to properly backfill excavations. Excavations should not extend below the site groundwater levels until the soils have been sufficiently dewatered. Dewatering should be maintained until backfilling has been completed a sufficient distance above the level where groundwater will rise in the absence of dewatering. A working surface of crushed aggregate will likely be required to stabilize the base of foundation excavations. This aggregate layer could also assist with groundwater control.

The dewatering design must require dewatering of soils that overlie the sandstone while considering the relatively shallow sandstone depths. Steel sheet piling cofferdams are considered impractical at this site due to the relatively shallow sandstone depths. The relatively shallow sandstone depths at this site will also limit well point drive depths. As a result, submersible pumps in slotted casings or sump pits in combination with well points will likely be required to control groundwater during construction. Multiple sumps along the proposed foundation alignment connected to a layer of crushed aggregate will be required to control groundwater. Pre-drilling of the sandstone will be required to extend well points or slotted casings into the sandstone. Debris within the existing fill might also limit well point drive depths. Pre-excavation of debris could be required prior to installing well points and slotted casings.



Dewatering systems are commonly designed by a specialty dewatering contractor. We recommend the dewatering contractor have a minimum of five years of work experience involving projects of a similar scope and complexity. The dewatering contractor should submit a dewatering work plan that describes their general approach and provides plan view locations, section views and details of the dewatering system and groundwater level monitoring program that will be implemented. Groundwater collected and discharged from the site must be tested, handled, and discharged in accordance with the project environmental consultant and approved by the local governing authority.

Engineered Fill

Engineered fill used to backfill foundation undercut excavations should be an approved material, free of frozen soil, excessive or over-sized debris, or other deleterious materials. We recommend MDOT Class II or IIA granular material for retaining wall backfill (as a well-draining backfill). Proposed fill should not contain more than 4 percent organics. Structural fill should be placed and compacted in appropriate lift sizes to uniformly achieve a minimum of 95 percent of the maximum dry density (based on the Modified Proctor test) through the fill depth. Non-structural (lawn area) fill can be compacted to a lower density requirement of 90 percent. Lift sizes should be appropriate so the compaction equipment used is suitable to achieve the required minimum density throughout the entire fill lift.

For backfill that is placed and compacted near the site groundwater level, or that could be affected by wet conditions, we recommend using a crushed aggregate material. Depending on the subgrade conditions, the aggregate could consist of crushed MDOT 6AA or a larger sized material, such as a well-graded, nominal 1 to 3-inch diameter sized material with a maximum of 7 percent passing the No. 200 sieve. The material could consist of natural aggregate or crushed concrete. Compact the crushed aggregate using a steel-drum vibratory roller or a static roller (in the case of disturbed subgrades). The crushed aggregate should be compacted until it is stable. The crushed aggregate material should be wrapped or capped with a dense-graded aggregate such as MDOT 21AA, or an approved non-woven geotextile fabric prior to placement of the granular material against the crushed aggregate.

We anticipate most of the excavated materials will be difficult to reuse as foundation undercut backfill or as a suitable material for wall backfill. The natural sands classified as "SP" may be suitable for reuse as engineered fill and may meet MDOT Class II or IIA gradational requirements. The natural sands will likely require draining prior to reuse. Remove any oversized (typically larger than about 4 inches in diameter) or excessive amounts of debris.

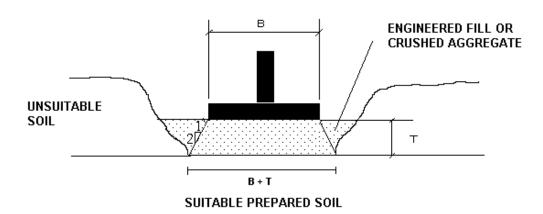
Foundations

We recommend removing the existing wall foundations where they conflict with new foundation locations. Where the removal extends below the planned bearing level of new foundations, the excavation should be oversized laterally and backfilled with engineered fill.



As indicated above, shallow spread foundations are feasible for support of the planned retaining wall, assuming the Owner accepts increased performance risks associated with supporting foundations and wall on or above the site existing fills. For this option, we recommend the bearing soils consist of at least 6-inches of crushed aggregate compacted over suitable existing fills, natural sands and clays, or sandstone. Suitability of the existing fill must be verified during construction. Undercut unsuitable fill that contains excessive debris, such as nested rubble, or debris larger than 4 inches in diameter. Compact loose soils prior to placing the recommended crushed aggregate layer. Use a maximum net allowable soil bearing pressure of 2,000 psf to design shallow foundations. Please contact us if you need a higher soil bearing pressure at the wall toe.

Foundation undercut excavations to remove unsuitable soils, and to backfill excavations to remove existing foundations or other obstructions, must extend laterally on a two vertical to one horizontal slope from the edge of the foundation as shown on the following Typical Foundation Undercutting Diagram:



Once each foundation area is exposed, foundation subgrade conditions must be observed and tested by SME to verify suitable soils are encountered. Furthermore, the test method must be capable of testing the soils several feet below the bearing level. Engineered fill or crushed aggregates used to backfill foundation undercut excavations must be placed and compacted per the recommendations provided previously in this report.

New foundations adjacent to the existing M-21 abutment wall should be constructed at the same bearing level as existing foundations unless a review of the impact on the existing foundation wall has been studied and determined to not adversely impact the existing abutment wall performance. Prohibit new foundation excavations from extending below the existing M-21 abutment wall foundation bearing level. Limit new foundation vertical "steps" to 1 foot for every 5 horizontal feet.



As indicated above, deep foundations, such as helical piers could be used to support the new retaining wall foundation at levels higher than the existing M-21 abutment foundation. This option would transfer new foundation loads below the existing M-21 abutment foundation, allow the new foundation to be constructed at typical frost depths above the existing M-21 abutment foundation, reduce groundwater control considerations, and reduce potential disturbance to the M-21 abutment wall backfill. Please contact us if you desire helical pier recommendations.

The foundations must be situated a minimum of 42 inches below final site grades for protection against frost action during normal winters. The granular soils encountered at the site are subject to sloughing/caving, and forming of the foundation sidewalls will be necessary to maintain vertical faces. In addition, any caved soils must be removed to re-expose suitable bearing soils at the foundation bearing level before placing concrete.

We estimate total settlement for the wall foundation using the recommended maximum net allowable bearing pressure and bearing on suitable soils, as described above, should be less than 1-inch. Differential settlements are estimated to be about one-half to three-quarters of the total settlement. The settlement estimates provided are based on the available boring information, design soil bearing pressure, relatively shallow sandstone depth, our experience with similar structures and soil conditions, and field verification of suitable bearing soils by SME.

Retaining Wall Backfill

Backfill the retaining walls with engineered fill composed of a granular material meeting the requirements of MDOT Class II or IIA sand. As a minimum, compact non-structural (landscape) backfill to the degree where it is stable under construction equipment. Otherwise, place and compact backfill for structural support as engineered fill and meet the minimum dry density requirement of 95 percent. Contractors must exercise care during compaction of wall backfill to avoid overstressing the wall. If required, design the wall to accommodate the additional stresses associated with operating compaction equipment adjacent to the wall.

As indicated previously, groundwater levels should be expected to vary seasonally, during flood events, and the water level in the river. Therefore, we recommend the wall design consider the 100 or 500-year flood elevation.

For a drained MDOT Class II granular backfill above the design high groundwater level, use an equivalent fluid active earth pressure of 40 pcf. We based this earth pressure on the walls being flexible enough to permit the active earth pressure condition (typically an inward movement equal to approximately 0.001 times the height of the wall). Please contact us if the wall is restrained or rigid enough to not rotate sufficiently to reach the active earth pressure condition.

We recommend a properly installed and maintained drain at the base of the wall. The drain should consist of a minimum 6-inch-diameter drain tile, surrounded with a minimum thickness of 6 inches of filter material, such as pea gravel that is completely wrapped in filter fabric. Provide clean-outs to access the drains to maintain them in proper working condition.



Add any additional lateral wall loads resulting from surcharge loading, such as adjacent traffic load or sloping ground, to the above earth pressures. To calculate loads on the wall due to surcharges, we recommend a horizontal coefficient of 0.3.

To evaluate the sliding of the wall, compute the sliding resistance at the base and the passive (resisting) and active (driving) earth forces. Determine the sliding resistance using an ultimate sliding coefficient of 0.45 for the recommended aggregate base layer beneath the proposed foundation. To evaluate overturning resistance, use the unit weight of backfill equal to 115 pcf and unit weight of concrete equal to 150 pcf. Passive resistance in front of the wall can also be used to resist sliding and overturning. We understand FTC&H will likely not use the full passive pressure to resist sliding. Contact SME if passive resistance design parameters are desired.

During flood conditions, design the wall to resist both soil and water pressure and consider hydrostatic uplift forces. The weight of the foundation concrete and the weight of the soil directly above the foundation can be used to resist uplift loading. Modify the equivalent fluid pressure to 95 pcf, buoyant unit weight of backfill equal to 65 pcf, and buoyant unit weight of concrete equal to 88 pcf below the design high groundwater level.

Overall mass stability of the replacement wall has not been studied. If desired, SME can assist the project team with review of overall stability.

Construction Considerations

Temporary dewatering will be required for wall construction as discussed previously.

The contractor must take precautions to protect adjacent existing structures and utilities during construction. Care must be exercised during the demolition, excavating, and compacting operations so that excessive vibrations or loss of ground do not cause settlement of the adjacent existing structure and utilities, and to avoid undermining existing foundations or utilities during excavations. Where sufficient space or setback from existing utilities, property lines, or structures exists, we anticipate the sides of the excavation can be temporarily sloped back in accordance with applicable regulations. However, in areas where sufficient setbacks cannot be maintained, temporary earth retention systems will be required during construction unless grading easements are obtained and existing structures are protected. Earth retention systems should be properly designed by a qualified professional engineer, and installed by a contractor experienced with that type of work.

The contractor must provide a safely sloped excavation or an adequately constructed and braced shoring system in accordance with federal, state and local safety regulations for individuals working in an excavation that may expose them to the danger of moving ground. If material is stored or heavy equipment is operated near an excavation, stronger shoring must be used to resist the extra pressure due to the superimposed loads.

We appreciate the opportunity to serve you during this phase of the project. If there are questions concerning this report, or if we can be of further service, please contact us.

Very truly yours,

SOIL AND MATERIALS ENGINEERS, INC.

Brian D. Moynihan, PE

Project Engineer

Michael J. Thelen, PE, D. GE

Senior Consultant

Attachments: Important Information about your Geotechnical Engineering Report

Boring Location Diagram Boring Log Terminology Boring Logs (B1 and B2) General Comments

Laboratory Testing Procedures

Distribution: Mrs. Elizabeth Stek, PE – FTC&H (PDF file via e-mail (eastek@ftch.com)

069000\069852.00\FINAL\069852.00 061214 BDM-LTR.DOC

Important Information About Your

Geotechnical Engineering Report

Subsurface problems are a principal cause of construction delays, cost overruns, claims, and disputes.

The following information is provided to help you manage your risks.

Geotechnical Services Are Performed for Specific Purposes, Persons, and Projects

Geotechnical engineers structure their services to meet the specific needs of their clients. A geotechnical engineering study conducted for a civil engineer may not fulfill the needs of a construction contractor or even another civil engineer. Because each geotechnical engineering study is unique, each geotechnical engineering report is unique, prepared *solely* for the client. No one except you should rely on your geotechnical engineering report without first conferring with the geotechnical engineer who prepared it. *And no one — not even you —* should apply the report for any purpose or project except the one originally contemplated.

Read the Full Report

Serious problems have occurred because those relying on a geotechnical engineering report did not read it all. Do not rely on an executive summary. Do not read selected elements only.

A Geotechnical Engineering Report Is Based on A Unique Set of Project-Specific Factors

Geotechnical engineers consider a number of unique, project-specific factors when establishing the scope of a study. Typical factors include: the client's goals, objectives, and risk management preferences; the general nature of the structure involved, its size, and configuration; the location of the structure on the site; and other planned or existing site improvements, such as access roads, parking lots, and underground utilities. Unless the geotechnical engineer who conducted the study specifically indicates otherwise, do not rely on a geotechnical engineering report that was:

- not prepared for you,
- not prepared for your project,
- not prepared for the specific site explored, or
- completed before important project changes were made.

Typical changes that can erode the reliability of an existing geotechnical engineering report include those that affect:

 the function of the proposed structure, as when it's changed from a parking garage to an office building, or from a light industrial plant to a refrigerated warehouse,

- elevation, configuration, location, orientation, or weight of the proposed structure,
- · composition of the design team, or
- project ownership.

As a general rule, *always* inform your geotechnical engineer of project changes—even minor ones—and request an assessment of their impact. Geotechnical engineers cannot accept responsibility or liability for problems that occur because their reports do not consider developments of which they were not informed.

Subsurface Conditions Can Change

A geotechnical engineering report is based on conditions that existed at the time the study was performed. *Do not rely on a geotechnical engineering report* whose adequacy may have been affected by: the passage of time; by man-made events, such as construction on or adjacent to the site; or by natural events, such as floods, earthquakes, or groundwater fluctuations. *Always* contact the geotechnical engineer before applying the report to determine if it is still reliable. A minor amount of additional testing or analysis could prevent major problems.

Most Geotechnical Findings Are Professional Opinions

Site exploration identifies subsurface conditions only at those points where subsurface tests are conducted or samples are taken. Geotechnical engineers review field and laboratory data and then apply their professional judgment to render an opinion about subsurface conditions throughout the site. Actual subsurface conditions may differ—sometimes significantly—from those indicated in your report. Retaining the geotechnical engineer who developed your report to provide construction observation is the most effective method of managing the risks associated with unanticipated conditions.

A Report's Recommendations Are *Not* Final

Do not overrely on the construction recommendations included in your report. *Those recommendations are not final*, because geotechnical engineers develop them principally from judgment and opinion. Geotechnical engineers can finalize their recommendations only by observing actual

subsurface conditions revealed during construction. The geotechnical engineer who developed your report cannot assume responsibility or liability for the report's recommendations if that engineer does not perform construction observation.

A Geotechnical Engineering Report Is Subject to Misinterpretation

Other design team members' misinterpretation of geotechnical engineering reports has resulted in costly problems. Lower that risk by having your geotechnical engineer confer with appropriate members of the design team after submitting the report. Also retain your geotechnical engineer to review pertinent elements of the design team's plans and specifications. Contractors can also misinterpret a geotechnical engineering report. Reduce that risk by having your geotechnical engineer participate in prebid and preconstruction conferences, and by providing construction observation.

Do Not Redraw the Engineer's Logs

Geotechnical engineers prepare final boring and testing logs based upon their interpretation of field logs and laboratory data. To prevent errors or omissions, the logs included in a geotechnical engineering report should *never* be redrawn for inclusion in architectural or other design drawings. Only photographic or electronic reproduction is acceptable, *but recognize that separating logs from the report can elevate risk*.

Give Contractors a Complete Report and Guidance

Some owners and design professionals mistakenly believe they can make contractors liable for unanticipated subsurface conditions by limiting what they provide for bid preparation. To help prevent costly problems, give contractors the complete geotechnical engineering report, but preface it with a clearly written letter of transmittal. In that letter, advise contractors that the report was not prepared for purposes of bid development and that the report's accuracy is limited; encourage them to confer with the geotechnical engineer who prepared the report (a modest fee may be required) and/or to conduct additional study to obtain the specific types of information they need or prefer. A prebid conference can also be valuable. Be sure contractors have sufficient time to perform additional study. Only then might you be in a position to give contractors the best information available to you, while requiring them to at least share some of the financial responsibilities stemming from unanticipated conditions.

Read Responsibility Provisions Closely

Some clients, design professionals, and contractors do not recognize that geotechnical engineering is far less exact than other engineering disciplines. This lack of understanding has created unrealistic expectations that

have led to disappointments, claims, and disputes. To help reduce the risk of such outcomes, geotechnical engineers commonly include a variety of explanatory provisions in their reports. Sometimes labeled "limitations" many of these provisions indicate where geotechnical engineers' responsibilities begin and end, to help others recognize their own responsibilities and risks. *Read these provisions closely.* Ask questions. Your geotechnical engineer should respond fully and frankly.

Geoenvironmental Concerns Are Not Covered

The equipment, techniques, and personnel used to perform a *geoenvironmental* study differ significantly from those used to perform a *geotechnical* study. For that reason, a geotechnical engineering report does not usually relate any geoenvironmental findings, conclusions, or recommendations; e.g., about the likelihood of encountering underground storage tanks or regulated contaminants. *Unanticipated environmental problems have led to numerous project failures*. If you have not yet obtained your own geoenvironmental information, ask your geotechnical consultant for risk management guidance. *Do not rely on an environmental report prepared for someone else*.

Obtain Professional Assistance To Deal with Mold

Diverse strategies can be applied during building design, construction, operation, and maintenance to prevent significant amounts of mold from growing on indoor surfaces. To be effective, all such strategies should be devised for the express purpose of mold prevention, integrated into a comprehensive plan, and executed with diligent oversight by a professional mold prevention consultant. Because just a small amount of water or moisture can lead to the development of severe mold infestations, a number of mold prevention strategies focus on keeping building surfaces dry. While groundwater, water infiltration, and similar issues may have been addressed as part of the geotechnical engineering study whose findings are conveyed in this report, the geotechnical engineer in charge of this project is not a mold prevention consultant; **none of the services per**formed in connection with the geotechnical engineer's study were designed or conducted for the purpose of mold prevention. Proper implementation of the recommendations conveved in this report will not of itself be sufficient to prevent mold from growing in or on the structure involved.

Rely, on Your ASFE-Member Geotechncial Engineer for Additional Assistance

Membership in ASFE/The Best People on Earth exposes geotechnical engineers to a wide array of risk management techniques that can be of genuine benefit for everyone involved with a construction project. Confer with you ASFE-member geotechnical engineer for more information.



8811 Colesville Road/Suite G106, Silver Spring, MD 20910 Telephone: 301/565-2733 Facsimile: 301/589-2017 e-mail: info@asfe.org www.asfe.org

Copyright 2004 by ASFE, Inc. Duplication, reproduction, or copying of this document, in whole or in part, by any means whatsoever, is strictly prohibited, except with ASFE's specific written permission. Excerpting, quoting, or otherwise extracting wording from this document is permitted only with the express written permission of ASFE, and only for purposes of scholarly research or book review. Only members of ASFE may use this document as a complement to or as an element of a geotechnical engineering report. Any other firm, individual, or other entity that so uses this document without being an ASFE member could be committing negligent or intentional (fraudulent) misrepresentation.

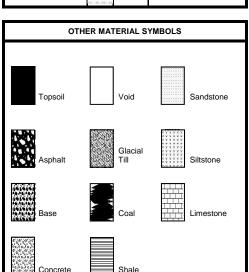


Figure No. 1



BORING LOG TERMINOLOGY

UNIFIED SOIL CLASSIFICATION AND SYMBOL CHART					
COARSE-GRAINED SOIL (more than 50% of material is larger than No. 200 sieve size.)					
Clean Gravel (Less than 5% fines)					
		GW	Well-graded gravel; gravel-sand mixtures, little or no fines		
GRAVEL More than 50% of coarse fraction larger than		GP	Poorly-graded gravel; gravel-sand mixtures, little or no fines		
No. 4 sieve size	Grav	Gravel with fines (More than 12% fines)			
	0.000	GM	Silty gravel; gravel-sand- silt mixtures		
		GC	Clayey gravel; gravel- sand-clay mixtures		
	С	lean Sand	d (Less than 5% fines)		
		SW	Well-graded sand; sand- gravel mixtures, little or no fines		
SAND 50% or more of coarse		SP	Poorly graded sand; sand-gravel mixtures, little or no fines		
fraction smaller than No. 4 sieve size	Sand with fines (More than 12% fines)				
		SM	Silty sand; sand-silt- gravel mixtures		
		SC	Clayey sand; sand–clay- gravel mixtures		
(50% or more of m		RAINED S smaller t	SOIL han No. 200 sieve size)		
SILT		ML	Inorganic silt; sandy silt or gravelly silt with slight plasticity		
AND CLAY Liquid limit less than 50%		CL	Inorganic clay of low plasticity; lean clay, sandy clay, gravelly clay		
		OL	Organic silt and organic clay of low plasticity		
SILT AND		МН	Inorganic silt of high plasticity, elastic silt		
CLAY Liquid limit		СН	Inorganic clay of high plasticity, fat clay		
50% or greater		ОН	Organic silt and organic clay of high plasticity		
HIGHLY ORGANIC SOIL	20 2	PT	Peat and other highly organic soil		



LABORATORY CLASSIFICATION CRITERIA					
GW	$C_U = \frac{D_{60}}{D_{10}}$ greater than 4; C_C	$= \frac{D_{30}}{D_{10} \times D_{60}}$ between 1 and 3			
GP	Not meeting all gradation requ	uirements for GW			
GM	Atterberg limits below "A" line or PI less than 4	Above "A" line with PI between 4 and 7 are			
GC	Atterberg limits above "A" line with PI greater than 7	borderline cases requiring use of dual symbols			
SW $C_U = \frac{D_{60}}{D_{10}}$ greater than 6; $C_C = \frac{D_{30}}{D_{10} \times D_{60}}$ between 1 and 3					
SP	Not meeting all gradation requ	uirements for SW			
SM	Atterberg limits below "A" line or PI less than 4	Above "A" line with PI between 4 and 7 are			
sc	Atterberg limits above "A" line with PI greater than 7	borderline cases requiring use of dual symbols			
SC Atterberg limits above A use of dual symbols					

PARTICLE SIZES

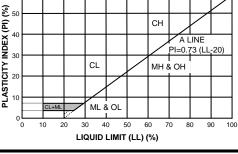
GM-GC (CLAYEY SILTY GRAVEL or CLAYEY SILTY GRAVEL with Sand)

• SC-SM (SILTY CLAYEY SAND or SILTY CLAYEY SAND with • SM-SC (CLAYEY SILTY SAND or CLAYEY SILTY SAND with Gravel) • GC-GM (SILTY CLAYEY GRAVEL or SILTY CLAYEY GRAVEL

Boulders			Greater than 12 inches	
	Cobble	S	-	3 inches to 12 inches
	Gravel-	- Coarse	-	3/4 inches to 3 inches
		Fine	-	No. 4 to 3/4 inches
	Sand-	Coarse	-	No. 10 to No. 4
		Medium	-	No. 40 to No. 10
		Fine	-	No. 200 to No. 40
	Silt and	d Clay	-	Less than (0.0074 mm)

СН A LINE CI

PLASTICITY CHART



Coh

VISUAL MANUAL PROCEDURE

When laboratory tests are not performed to confirm the classification of soils exhibiting borderline classifications, the two possible classifications would be separated with a slash, as follows:

For soils where it is difficult to distinguish if it is a coarse or finegrained soil:

- SC/CL (CLAYEY SAND to Sandy LEAN CLAY)
- SM/ML (SILTY SAND to Sandy SILT) GC/CL (CLAYEY GRAVEL to Gravelly LEAN CLAY)

GM/ML (SILTY GRAVEL to Gravelly SILT)

For soils where it is difficult to distinguish if it is sand or gravel, poorly or well-graded sand or gravel; silt or clay; or plastic or nonplastic silt or clay:

- SP/GP or SW/GW (SAND with Gravel to GRAVEL with Sand) SC/GC (CLAYEY SAND with Gravel to CLAYEY GRAVEL with Sand) SM/GM (SILTY SAND with Gravel to SILTY GRAVEL with
- Sand)
 SW/SP (SAND or SAND with Gravel)
 GP/GW (GRAVEL or GRAVEL with Sand)
- SC/SM (CLAYEY to SILTY SAND) SUSM (CLAYEY TO SILLY SAND)
 GM/GC (SILTY to CLAYEY GRAVEL)
 CL/ML (SILTY CLAY)
 ML/CL (CLAYEY SILT)
 CH/MH (FAT CLAY TO ELASTIC SILT)
 CL/CH (LEAN TO FAT CLAY)

251

Parting

Seam

- MH/ML (ELASTIC SILT to SILT)
 OL/OH (ORGANIC SILT or ORGANIC CLAY)

DRILLING AND SAMPLING ABBREVIATIONS

231	_	Shelby Tube – 2 O.D.
3ST	_	Shelby Tube – 3" O.D.
AS	_	Auger Sample
GS	-	Grab Sample
LS	_	Liner Sample
NR	_	No Recovery
PM	_	Pressure Meter
RC	_	Rock Core diamond bit. NX size, except
		where noted
SB	_	Split Barrel Sample 1-3/8" I.D., 2" O.D.,
		except where noted
VS	_	Vane Shear
WS	_	Wash Sample

Shelby Tube - 2" O D

OTHER ABBREVIATIONS

Weight of Hammer Weight of Rods Soil Probe WOR SP PID Photo Ionization Device Flame Ionization Device

DEPOSITIONAL FEATURES as much as 1/16 inch thick 1/16 inch to 1/2 inch thick

Layer 1/2 inch to 12 inches thick greater than 12 inches thick Stratum Pocket deposit of limited lateral extent Lens lenticular deposit Hardpan/Till an unstratified, consolidated or cemented

mixture of clay, silt, sand and/or gravel, the size/shape of the constituents vary widely

soil deposited by lake water Mottled

soil irregularly marked with spots of different colors that vary in number and size Varved alternating partings or seams of silt and/or

clay

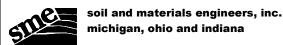
Occasional one or less per foot of thickness more than one per foot of thickness Frequent Interbedded

strata of soil or beds of rock lying between or alternating with other strata of a different

CLASSIFICATION TERMINOLOGY AND CORRELATIONS

Cohesionless Soils		Cohesive Soils		
Relative Density	<u>N-Value</u> (Blows per foot)	Consistency	N-Value (Blows per foot)	Undrained Shear Strength (kips/ft ²)
Very Loose Loose Medium Dense Dense Very Dense Extremely Dense	0 to 4 4 to 10 10 to 30 30 to 50 50 to 80 Over 80	Very Soft Soft Medium Stiff Very Stiff Hard	0 - 2 2 - 4 4 - 8 8 - 15 15 - 30 > 30	0.25 or less 0.25 to 0.50 0.50 to 1.0 1.0 to 2.0 2.0 to 4.0 4.0 or greater
, , , , , , , , , , , , , , , , , , , ,				

Standard Penetration 'N-Value' = Blows per foot of a 140-pound hammer falling 30 inches on a 2-inch O.D. split barrel sampler, except



BORING B1

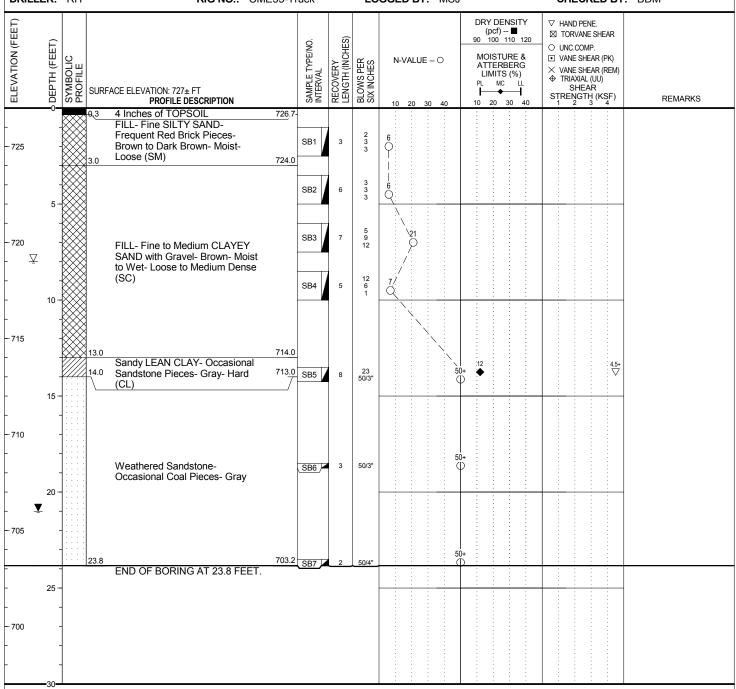
PAGE 1 OF 1

PROJECT NAME: 2014 Downtown Infrastructure Improvements PROJECT NUMBER: 069852.00

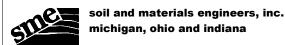
CLIENT: FTC&H PROJECT LOCATION: City of Owosso, Michigan

DATE STARTED: 5/21/14 COMPLETED: 5/21/14 BORING METHOD: Hollow-stem Augers

DRILLER: RH RIG NO.: CME55-Truck LOGGED BY: MSJ CHECKED BY: BDM



GROUNDWATER & BACKFILL INFORMATION			OTES: 1. The indicated stratification lines are approximate. In situ, the transition between materials may be gradual.				
	DEPTH (FT)) ELEV (FT)	Borehole backfilled with auger cuttings from about 23.8 to 20 feet. The City of Owosso informed us they would backfill the remainder of the borehole with imported sand.				
□ DURING BORING:	8.0	719.0	would back in the remainder of the boreriote with imported sand.				
▼ AT END OF BORING:	21.0	706.0					
CAVE-IN OF BOREHOLE AT:	12.5	714.5					
GAVE-IN OF BOREHOLE AT:	12.0	1 17.5					
BACKFILL METHOD: Note 2							



BORING B2

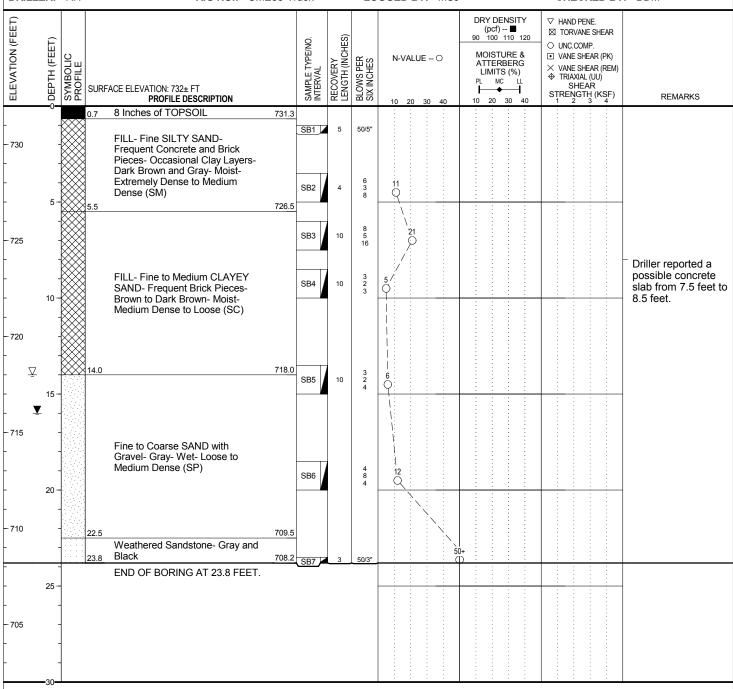
PAGE 1 OF 1

PROJECT NAME: 2014 Downtown Infrastructure Improvements PROJECT NUMBER: 069852.00

CLIENT: FTC&H PROJECT LOCATION: City of Owosso, Michigan

DATE STARTED: 5/20/14 COMPLETED: 5/20/14 BORING METHOD: Hollow-stem Augers

DRILLER: RH RIG NO.: CME55-Truck LOGGED BY: MSJ CHECKED BY: BDM



GROUNDWATER & BACKFILL INFORMATION		TION	NOTES: 1. The indicated stratification lines are approximate. In situ, the transition between materials may be gradual.
	DEPTH (FT)	ELEV (FT)	
abla during boring:	14.0	718.0	
▼ AT END OF BORING:	16.0	716.0	

CAVE-IN OF BOREHOLE AT: 6.0 726.0

BACKFILL METHOD: Auger Cuttings

GENERAL COMMENTS

Basis of Geotechnical Report

This report has been prepared in accordance with generally accepted geotechnical engineering practices to assist in the design and/or evaluation of this project. If the project plans, design criteria, and other project information referenced in this report and utilized by SME to prepare our recommendations are changed, the conclusions and recommendations contained in this report are not considered valid unless the changes are reviewed, and the conclusions and recommendations of this report are modified or approved in writing by our office.

The discussions and recommendations submitted in this report are based on the available project information, described in this report, and the geotechnical data obtained from the field exploration at the locations indicated in the report. Variations in the soil and groundwater conditions commonly occur between or away from sampling locations. The nature and extent of the variations may not become evident until the time of construction. If significant variations are observed during construction, SME should be contacted to reevaluate the recommendations of this report. SME should be retained to continue our services through construction to observe and evaluate the actual subsurface conditions relative to the recommendations made in this report.

In the process of obtaining and testing samples and preparing this report, procedures are followed that represent reasonable and accepted practice in the field of soil and foundation engineering. Specifically, field logs are prepared during the field exploration that describe field occurrences, sampling locations, and other information. Samples obtained in the field are frequently subjected to additional testing and reclassification in the laboratory and differences may exist between the field logs and the report logs. The engineer preparing the report reviews the field logs, laboratory classifications, and test data and then prepares the report logs. Our recommendations are based on the contents of the report logs and the information contained therein.

Review of Design Details, Plans, and Specifications

SME should be retained to review the design details, project plans, and specifications to verify those documents are consistent with the recommendations contained in this report.

Review of Report Information With Project Team

Implementation of our recommendations may affect the design, construction, and performance of the proposed improvements, along with the potential inherent risks involved with the proposed construction. The client and key members of the design team, including SME, should discuss the issues covered in this report so that the issues are understood and applied in a manner consistent with the owner's budget, tolerance of risk, and expectations for performance and maintenance.

Field Verification of Geotechnical Conditions

SME should be retained to verify the recommendations of this report are properly implemented during construction. This may avoid misinterpretation of our recommendations by other parties and will allow us to review and modify our recommendations if variations in the site subsurface conditions are encountered.

Project Information for Contractor

This report and any future addenda or other reports regarding this site should be made available to prospective contractors prior to submitting their proposals for their information only and to supply them with facts relative to the subsurface evaluation and laboratory test results. If the selected contractor encounters subsurface conditions during construction, which differ from those presented in this report, the contractor should promptly describe the nature and extent of the differing conditions in writing and SME should be notified so that we can verify those conditions. The construction contract should include provisions for dealing with differing conditions and contingency funds should be reserved for potential problems during earthwork and foundation construction. We would be pleased to assist you in developing the contract provisions based on our experience.

The contractor should be prepared to handle environmental conditions encountered at this site, which may affect the excavation, removal, or disposal of soil; dewatering of excavations; and health and safety of workers. Any Environmental Assessment reports prepared for this site should be made available for review by bidders and the successful contractor.

Third Party Reliance/Reuse of This Report

This report has been prepared solely for the use of our Client for the project specifically described in this report. This report cannot be relied upon by other parties not involved in the project, unless specifically allowed by SME in writing. SME also is not responsible for the interpretation by other parties of the geotechnical data and the recommendations provided herein.

LABORATORY TESTING PROCEDURES

Visual Engineering Classification

Visual classification was performed on recovered samples. The appended General Notes and Unified Soil Classification System (USCS) sheets include a brief summary of the general method used visually classify the soil and assign an appropriate USCS group symbol. The estimated group symbol, according to the USCS, is shown in parentheses following the textural description of the various strata on the boring logs appended to this report. The soil descriptions developed from visual classifications are sometimes modified to reflect the results of laboratory testing.

Moisture Content

Moisture content tests were performed by weighing samples from the field at their in-situ moisture condition. These samples were then dried at a constant temperature (approximately 110° C) overnight in an oven. After drying, the samples were weighed to determine the dry weight of the sample and the weight of the water that was expelled during drying. The moisture content of the specimen is expressed as a percent and is the weight of the water compared to the dry weight of the specimen.

Hand Penetrometer Tests

In the hand penetrometer test, the unconfined compressive strength of a cohesive soil sample is estimated by measuring the resistance of the sample to the penetration of a small calibrated, spring-loaded cylinder. The maximum capacity of the penetrometer is 4.5 tons per square-foot (tsf). Theoretically, the undrained shear strength of the cohesive sample is one-half the unconfined compressive strength. The undrained shear strength (based on the hand penetrometer test) presented on the boring logs is reported in units of kips per square-foot (ksf).

Torvane Shear Tests

In the Torvane test, the shear strength of a low strength, cohesive soil sample is estimated by measuring the resistance of the sample to a torque applied through vanes inserted into the sample. The undrained shear strength of the samples is measured from the maximum torque required to shear the sample and is reported in units of kips per square-foot (ksf).

Loss-on-Ignition (Organic Content) Tests

Loss-on-ignition (LOI) tests are conducted by first weighing the sample and then heating the sample to dry the moisture from the sample (in the same manner as determining the moisture content of the soil). The sample is then re-weighed to determine the dry weight and then heated for 4 hours in a muffle furnace at a high temperature (approximately 440° C). After cooling, the sample is re-weighed to calculate the amount of ash remaining, which in turn is used to determine the amount of organic matter burned from the original dry sample. The organic matter content of the specimen is expressed as a percent compared to the dry weight of the sample.

Atterberg Limits Tests

Atterberg limits tests consist of two components. The plastic limit of a cohesive sample is determined by rolling the sample into a thread and the plastic limit is the moisture content where a 1/8-inch thread begins to crumble. The liquid limit is determined by placing a ½-inch thick soil pat into the liquid limits cup and using a grooving tool to divide the soil pat in half. The cup is then tapped on the base of the liquid limits device using a crank handle. The number of drops of the cup to close the gap formed by the grooving tool ½ inch is recorded along with the corresponding moisture content of the sample. This procedure is repeated several times at different moisture contents and a graph of moisture content and the corresponding number of blows is plotted. The liquid limit is the moisture content at a nominal 25 drops of the cup. From this test, the plasticity index can be determined by subtracting the plastic limit from the liquid limit.



SPECIAL PROVISION FOR TURF ESTABLISHMENT, PERFORMANCE

City of Owosso/CW 1 of 5 Nov, 2019

a. Description. For the work identified in this special provision paid for by the pay item Turf Establishment, Performance only, delete section 816 of the Standard Specifications for Construction and replace it with this special provision. The Contractor is responsible for the performance and quality of turf growth in the areas indicated on the plans and as identified by the Engineer. Comply with all local, state and federal laws when completing this work. Areas damaged by the Contractor outside the work area needing turf restoration will **not** be eligible for payment.

Establish a durable, permanent, weed-free, mature, perennial turf. The work consists of fundamental turf work, including but not limited to topsoiling, seeding, mulching, erosion control, maintenance, watering and repair of turf as described herein during the life of the contract and during the life of any supplemental performance bond which may ensue.

Choose and implement proven turf establishment industry practices; provide all necessary labor and equipment; select and provide all turf establishment materials; and control erosion and any subsequent sedimentation at all times.

Perform a site analysis, interpret the results and implement a turf establishment program to ensure compliance with this specification. The site analysis must take into consideration topsoil needs, fertilizer and pH requirements, seed mix, existing and future soil moisture levels, slopes and grades, required erosion control items and devices, maintenance requirements, local highway snow removal and deicing practices, and any other characteristics that influence and affect turf establishment.

Subsection 107.11 of the Standard Specifications for Construction is revised relative to the Contractor's responsibility for the repair of turf establishment work as follows. The Contractor is responsible, at no additional cost to the contract, for the repair of turf establishment work occasioned by storm events up to 3 inches of rain in a 24 hour period as documented by local meteorological data submitted to the Engineer for review and approval. All other portions of subsection 107.11 remain unchanged.

1. Contractor Turf Establishment Experience Requirements. Ensure weed control is done by a commercial herbicide applicator, licensed by the State of Michigan and certified by the Michigan Department of Agriculture (MDA) in the appropriate category to apply herbicides. Use application procedures and materials according to federal, state and local regulations. Use of restricted use chemicals is prohibited. Provide appropriate documentation and secure approval from the Engineer before application of herbicides.

At least 10 work days prior to start of turf establishment, provide documentation to the Engineer, from the Contractor performing the turf establishment work, that they meet one or both of the following requirements.

A. At least one person employed by the Contractor performing the turf establishment work and assigned to the job site has a degree or certificate in Turf

Management, Horticulture or related field.

- B. At least one person employed by the Contractor performing the turf establishment work and assigned to the job site has at least 5 years of experience in roadside turf establishment.
- **b. Materials.** Provide topsoil, seed, mulch, pesticide, herbicide, mulch blankets and any other unique erosion control materials as necessary to fulfill this specification, as detailed on the plans. Use additional materials, as necessary, to meet the standards set forth for turf establishment in this special provision. The use of sod on the project requires the prior approval of the Engineer and if approved, may be used at limited site locations only.

Selection of all materials is the responsibility of the Contractor with the following minimum conditions.

- 1. Soil. Provide furnished or salvaged topsoil, which may be blended compost, that will support vigorous growth. Ensure topsoil is humus bearing and placed at least 4 inches deep. Ensure it is free of stones larger than 1/2 inch (2 inches on freeway projects) in diameter and other debris. Trim and grade the finished slope in accordance with subsection 205.03.N of the Standard Specifications for Construction.
- 2. Seed. Use Barenburg Turf Saver® RTF® applied at the manufacturers recommended seeding rate.
- 3. Mulch. Mulch seeded areas with excelsior mulch blanket to promote germination and growth of seed and to mitigate soil erosion and sedimentation.
- 4. Herbicides. Comply with all federal, state and local laws. As part of the MDA weed control application, the Contractor is required to make proper notifications and/or postings as per label and MDA requirements for all locations that will be sprayed. Notify the Engineer at least 48 hours prior to any applications being made. Furnish and apply herbicide(s) as needed. It is the Contractor's responsibility to select the herbicide(s) and the rate at which it is used. Obtain the Engineer's approval of work methods and herbicide(s) selected prior to the application of the herbicide(s). Complete a spray log and submit to the Engineer each day an application is made.

Do not draw water from any waterway (i.e. river, ditch, creek, lake etc.) located on state, county or municipal right-of-way, for mixing with herbicides.

- 5. Fertilizers. Furnish and apply fertilizer(s) as needed. It is the Contractor's responsibility to select the fertilizer(s) and the rate at which it is used. Phosphorus is allowed for use only at the time of planting and when required by soil conditions. Obtain the Engineer's approval of work methods and fertilizer(s) prior to the application of the fertilizer(s).
- 6. Water. Furnish and apply water from an approved source at a rate to promote healthy growth.
- **c.** Construction. The Contractor is responsible for all work and all construction methods used in completing this work. Implementation of any part of the standard specifications or standard plans by the Contractor does not relieve the Contractor of responsibility for acceptability of the construction methods or for the quality of the work.

1. Inspection of the Work. The Contractor is responsible for all inspection of turf establishment work.

Use a Contractor's Daily Report, approved by the Engineer, to report inspections made and to document turf establishment work performed on this project. Complete and submit a Contractor's Daily Report to the Engineer when any work performed under this special provision is in progress.

Include all necessary materials documentation including tests slips, certifications, etc. with the associated Contractor's Daily Report.

The Engineer will determine the acceptability of the Contractor's Daily Report in terms of their completeness and accuracy. The Engineer reserves the right to verify all submitted measurements and computations. Failure by the Contractor to submit acceptable and timely reports to the Engineer may result in withholding of progress pay estimates on turf- related items until such time as reports are submitted and deemed acceptable.

The Engineer reserves the right to inspect the project for any reason in accordance with subsection 104.01 of the Standard Specifications for Construction, including the fulfillment of other inspection requirements such as Soil Erosion and Sedimentation Control, NPDES, etc. Inspections made by the Engineer do not relieve the Contractor of the responsibility for inspections required by this special provision or the Contractor's responsibilities for erosion control and turf establishment.

2. Erosion Control. Control erosion at all times according to section 208 of the Standard Specifications for Construction. Control of soil erosion is the responsibility of the Contractor. However, sedimentation controls must be placed as indicated on the plans or as directed by the Engineer. Continuously monitor the site for needed erosion repair from any cause as addressed in the contract. Return all eroded areas to original grade as detailed in the contract.

Take immediate corrective action if sedimentation occurs in drainage structures or any watercourse or water containment area and stabilize all disturbed areas contributing to this sedimentation within 24 hours after the erosion occurrence. Remove sediment deposited as a result of the Contractor's inability to control the soil erosion at the Contractor's expense.

Reimburse the Department for any costs levied against the Department, such as fines, environmental costs, costs for remedies required, or any other costs as a result of the Contractor's failure to comply with this special provision and with federal, state and local laws.

3. Erosion Repair. The Contractor is responsible for all repairs and liable for all consequences (legal, monetary or other) associated with erosion or sedimentation damage to finished or unfinished work.

Report all erosion occurrences and the repairs made by the Contractor to the Engineer in the format and at the frequency required by the Engineer. Repair any erosion, displacement or disturbance to ongoing or completed work by any cause at no additional cost to the contract unless otherwise noted herein.

The Contractor is responsible and liable for all traffic control and safety measures required to repair and protect damaged turf areas. Repair any eroded area that may affect the support of the roadbed or safety of the public within 24 hours of the erosion occurrence.

Place protective devices such as barriers, directional signs/signals, temporary fence, or any other safety measures immediately after any erosion damage occurs that has the potential of endangering the public. In these instances, provide the Engineer with a written summary of the immediate action taken describing the repairs made and the safety measures taken, within 24 hours of the occurrence of the damage.

- 4. Mowing and Weeding. Maintain turf to a visually appealing level, and not more than 8 inches in height at any time, prior to acceptance. Weeds must be controlled to less than 10 percent of the turf establishment area at all times during construction.
 - 5. Final Acceptance and Supplemental Performance Bond.
 - A. Final Acceptance Parameters. Ensure before final acceptance of the turf establishment work, all of the following minimum parameters are met throughout all exposed areas of the project designated on the plans or identified by the Engineer as turf establishment areas: there must be no exposed bare soil and the turf must be fully germinated, erosion free, weed free, disease free, dark green in color and in a vigorous growing condition.

The Engineer will notify the Contractor of the dates and times of all acceptance inspections. The Contractor may accompany the Engineer during these inspections. If the Contractor does not agree with the decision made by the Engineer, the Contractor may request an inspection by a mutually agreed upon third party (Michigan State University Extension service or other). A joint inspection, to include the Engineer, the Contractor, and the third party, will be scheduled by the Engineer. Pay all expert fees and expenses charged by the third party.

B. Supplemental Performance Bond. In the event that all contract items of work are completed, including the placement of all turf establishment items of work, and the final acceptance of the project is delayed because the final acceptance parameters for the turf establishment work have not been fully met; the Contractor may propose to the Engineer the use of a supplemental performance bond.

The bond serves to secure the successful completion of turf establishment work and fulfillment of all final acceptance parameters for the turf establishment work. Ensure the supplemental performance bond, in all respects, is satisfactory and acceptable to the Department and executed by a surety company authorized to do business with the State of Michigan.

Ensure the bond is in an amount equal to 50 percent of the turf establishment work items covered by this special provision. Ensure the bond remains in place for two growing seasons. At the discretion of the Engineer, the bond may be reduced on a prorated basis as portions of the areas designated for turf establishment on the project meet the final acceptance parameters.

Prior to commencement of any work necessary to meet the acceptance parameters during the bonded period, the Contractor must apply for a permit to work within MDOT right-of-way using Form 2205. The permit fee and an individual permit performance bond will not be required. The permit insurance requirements, however, will be required.

d. Measurement and Payment. The completed work, as described, will be measured and paid for at the contract unit price using the following pay item:

Pay Item Pay Unit

Turf Establishment, Performance......Square Yard

Turf Establishment, Performance will include all labor, equipment and materials required or selected by the Contractor to install, maintain, inspect, repair and meet the acceptance parameters for turf establishment specified in this special provision, including preparation, updating and submittal of the Contractor's Daily Reports.

Repairs made to damaged turf establishment areas as a result of a documented storm by local meteorological data resulting in rainfall amounts of more than 3 inches in a 24 hour period will be paid for as an increase to original quantities in accordance with subsection 109.05 of the Standard Specifications for Construction.

The following schedule of payment applies to work performed according to this special provision. Upon completion of topsoil surfacing stage, 50 percent of the authorized amount for **Turf Establishment, Performance** will be paid to the Contractor. The remaining 50 percent of the authorized amount will be paid upon completion of all other work necessary to comply with this special provision and to meet all final acceptance parameters for **Turf Establishment, Performance** or at such time as the supplemental performance bond is accepted by the Department.

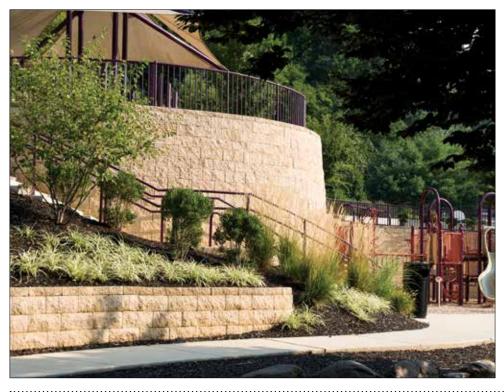
The supplemental performance bond and all costs associated with turf establishment work performed during the duration of the performance bond will not be paid for separately. These costs which may include, but are not limited to, mobilization, traffic control devices, and the required permit insurance are included in the unit price bid for **Turf Establishment**, **Performance**.



STRUCTURAL WALL | KEYSTONE STANDARD® | STRAIGHT SPLIT

STANDARD® I

The Keystone Standard unit's depth, combines with sound engineering, to create a commercial retaining wall solution that provides unrivaled structural stability. From backyard landscaping to large, load-bearing reinforced structures, the Keystone Standard unit's history of proven performance combines with diverse face styles, textures, colors and design possibilities to make it a favorite of architects, engineers and contractors worldwide.





Unit Dimensions:

8"h x 18"w x 18 - 21"d (203mm x 457mm x 457 - 533mm)

Unit Weights:

102 - 114 lbs (46 - 52 kg)

Units/sq.ft.: 1

Pin Specifications:

½" x 5¼" Fiberglass Alignment Pins (13mm x 133mm)

FEATURES & BENEFITS

Maximum Versatility and Performance

- Height-to-depth ratio delivers superior construction stability, durability, and strength.
- Near vertical or battered setback options.
- Higher unit-to-unit shear resistance.
- Open cores allow for gravel interlock across block interfaces.
- Increased vertical drainage through units.

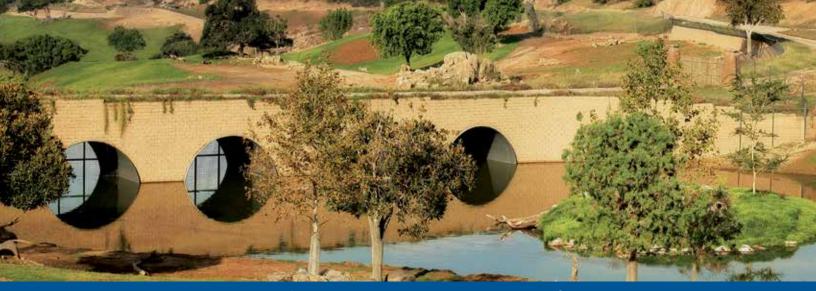
Ease of Installation

- Trapezoidal shaped sides offer ease in constructing radii.
- Shape of the tail design makes for easier handling in the field.
- Triangular shaped pin connection hole allows for installer-friendly construction adjustments.

Aesthetics

- Variety of colors complement any landscape.
- Natural stone texture appearance.
- Capping and corner units available.

 $\textbf{Note:} \ \ \textbf{Unit color, dimensions, weight \& availability varies by manufacturer.}$



STRUCTURAL WALL | KEYSTONE STANDARD® | STRAIGHT SPLIT

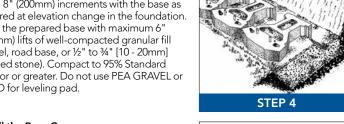
STANDARD® I

INSTALLATION INSTRUCTIONS



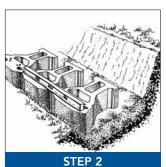
Prepare the Base Leveling Pad.

Excavate the base trench to the designed width and depth. Start the leveling pad at the lowest elevation along the wall alignment. Step up in 8" (200mm) increments with the base as required at elevation change in the foundation. Level the prepared base with maximum 6" (150mm) lifts of well-compacted granular fill (gravel, road base, or ½" to ¾" [10 - 20mm] crushed stone). Compact to 95% Standard Proctor or greater. Do not use PEA GRAVEL or SAND for leveling pad.



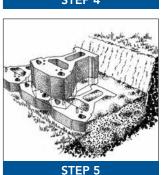
Install Fill & Compaction.

Provide ½" - ¾" (10 - 20mm) clean crushed stone drainage fill behind the units to a minimum distance behind the tail of 6" (150mm). Fill all open spaces between units and open cavities/cores with the same drainage material. Proceed to place backfill in maximum 6 - 8" (150 - 200mm) layers and compact to 95% Standard Proctor with the appropriate compaction equipment.



Install the Base Course.

Place the first course of Keystone units end to end (with face of wall corners touching) on the prepared base. The receiving pin holes should face upward, as shown. Make sure each unit is level. Leveling the first course is critical for accurate and acceptable results. Keystone recommends minimum embedment depth for below grade placement of Keystone units on a ratio of 1" (25mm) below grade for each 8" (200mm) of wall height above grade or one unit, whichever is greater.



Install Additional Courses.

Place the next course of Keystone units over the fiberglass pins, fitting the pins into the triangular shaped receiving hole in the units above. Push the units toward the face of the wall until they make full contact with the pins. Continue backfilling and building to desired top elevation.



Insert the Fiberglass Pins.

Place the straight fiberglass pins into the holes of each Keystone unit as required. Once placed, the pins create an automatic setback for the additional courses. Place fiberglass connecting pins in the front holes for near vertical (1/8" or [3mm]) setback and in the rear pin holes for 11/8" (29mm) setback per course.



Capping the Wall.

Complete your wall with the appropriate Keystone capping units. With units dry and clean, use exterior construction grade adhesive on the top surface of the last course before applying cap units. Backfill and compact to finish grade.

Note: If drain tile is required for your project, consult an engineer or visit www.keystonewalls.com/resources for more information.









Miragrid[®] 5XT

Miragrid[®] 5XT geogrid is composed of high molecular weight, high tenacity polyester multifilament yarns which are woven in tension and finished with a PVC coating. Miragrid[®] 5XT geogrid is inert to biological degradation and resistant to naturally encountered chemicals, alkalis, and acids.

Mechanical Properties	Test Method	Unit	Minimum Average Roll Value Machine Direction
Tensile Strength (at ultimate)	ASTM D 6637	kN/m (lbs/ft)	68.6 (4700)
Tensile Strength (at 5% strain)	ASTM D 6637	kN/m (lbs/ft)	25.4 (1740)
Creep Reduced Strength	ASTM D 5262	kN/m (lbs/ft)	43.4 (2975)
Long Term Allowable Design Load ¹	GRI GG-4(b)	kN/m (lbs/ft)	37.6 (2575)

¹ NOTE: Long Term Allowable Design values are for sand, silt and clay

Physical Properties	Test Method	Unit	Typical Value
Grid Aperture Size (machine direction)		mm (in)	30.5 (1.2)
Grid Aperture Size (cross machine direction)		mm (in)	25.4 (1.0)
Mass/Unit Area	ASTM D 5261	g/m² (oz/yd²)	305.1 (9.0)
Roll Dimensions (width x length)		m (ft)	3.6 (12) x 45.7 (150)
Roll Area		m² (yd²)	164.5 (200)
Estimated Roll Weight		kg (lbs)	63 (140)

Disclaimer: TenCate assumes no liability for the accuracy or completeness of this information or for the ultimate use by the purchaser. TenCate disclaims any and all express, implied, or statutory standards, warranties or guarantees, including without limitation any implied warranty as to merchantability or fitness for a particular purpose or arising from a course of dealing or usage of trade as to any equipment, materials, or information furnished herewith. This document should not be construed as engineering advice.

© 2010 TenCate Geosynthetics North America Miragrid[®] is a registered trademark of Nicolon Corporation







Mirafi[®] 180N





Mirafi® 180N is a needlepunched nonwoven geotextile composed of polypropylene fibers, which are formed into a stable network such that the fibers retain their relative Mirafi® 180N is inert to biological degradation and resists naturally encountered chemicals, alkalis, and acids. Mirafi® 180N meets AASHTO M288-06 Class 1 for Elongation > 50%.

TenCate Geosynthetics Americas Laboratories are accredited by a2La (The American Association for Laboratory Accreditation) and Geosynthetic Accreditation Institute -Laboratory Accreditation Program (GAI-LAP). NTPEP Number: GTX-2012-01-047

Mechanical Properties	Test Method	Unit	Minimum Average Roll Value	
•			MD	CD
Grab Tensile Strength	ASTM D4632	lbs (N)	205 (912)	205 (912)
Grab Tensile Elongation	ASTM D4632	%	50	50
Trapezoid Tear Strength	ASTM D4533	lbs (N)	80 (356)	80 (356)
CBR Puncture Strength	ASTM D6241	lbs (N)	500 (2	2224)
Apparent Opening Size (AOS) ¹	ASTM D4751	U.S. Sieve (mm)	80 (0).18)
Permittivity	ASTM D4491	sec ⁻¹	1.	4
Flow Rate	ASTM D4491	gal/min/ft2 (l/min/m2)	95 (3	870)
UV Resistance (at 500 hours)	ASTM D4355	% strength retained	7(0

¹ ASTM D4751: AOS is a Maximum Opening Diameter Value

Physical Properties	Unit	Typical Value ²		
Roll Dimensions (width x length)	ft (m)	12.5 x 360 (3.8 x 110)	15 x 300 (4.57 x 91.4)	
Roll Area	$yd^2 (m^2)$	500 (418)		
Estimated Roll Weight	lb (kg)	242 (110)		

Disclaimer: TenCate assumes no liability for the accuracy or completeness of this information or for the ultimate use by the purchaser. TenCate disclaims any and all express, implied, or statutory standards, warranties or guarantees, including without limitation any implied warranty as to merchantability or fitness for a particular purpose or arising from a course of dealing or usage of trade as to any equipment, materials, or information furnished herewith. This document should not be construed as engineering advice.

Mirafi[®] is a registered trademark of Nicolon Corporation.

Copyright © 2014 Nicolon Corporation. All Rights Reserved.











² ASTM D4439 Standard Terminology for Geosynthetics: typical value, n-for geosynthetics, the mean value calculated from documented manufacturing quality control test results for a defined population obtained from one test method associated with on specific property.



Strata Sleeve-It™ System





Project DHL Distribution Facility Allentown, PA

Product Over 350 Sleeve-It 1224R units used

Savings 10,000 square feet of real estate

FRONT SLEEVE HALF REAR SLEEVE HALF PVC COATED STRUTS 12' 0 PVC COATED CANTILEVER BASE

Isometric View of Sleeve-It 1224R System Components

Simple Construction



Step 1
Install the cantilevered
Sleeve-It units near the
elevation of the top of wall



Step 2
Fill and compact soil up to
the top of the sleeve



Step 3
Leave the disposable lid on the sleeve until the fence installer places the fence post in the sleeve and fills with concrete



Step 4
The fencing contractor finishes the fence, supported by the buried Sleeve-It System.

Maximizing Land Use

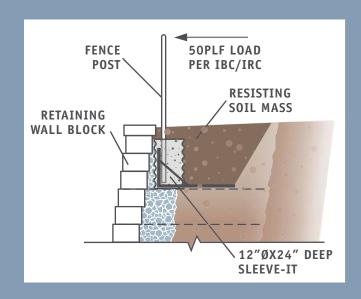
Retaining wall solutions that start with
Stratagrid high tenacity geosynthetic
reinforcement receive their finishing touches
from the Sleeve-It™ System. The patented
Sleeve-It System (rail integration device) is
a code compliant, fence-post anchoring
system that integrates stable fence footings
into the support structure of retaining walls,
while the retaining wall is being constructed.

Don't wait until the retaining wall is built to

consider fence requirements. As a pre-engineered/pre-designed system, Sleeve-It is a proven fence post integration device designed to reduce specifier liability and associated costs with building-code compliance. Using the system as part of the SRW eliminates a 3 foot offset requirement for rails/fences that are added after wall construction. Sleeve-It offers a below grade engineered design that provides superior safety in a cost effective solution.

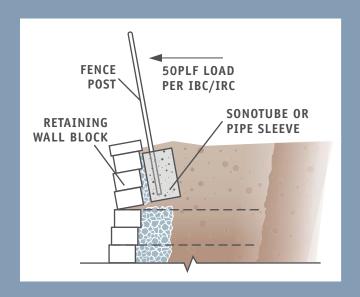
With Sleeve-it





Without Sleeve-it





Building Codes

Both residential and commercial building codes specifically state safety rails shall be provided when the vertical grade between different surfaces exceed a fixed vertical height. Commercial codes further define minimum load requirements that the guards are to provide.



Building codes generally require guards (protection) atop retaining wall structures and are applicable to fencing and

pedestrian rail systems placed atop segmental retaining wall systems.

Residential Codes

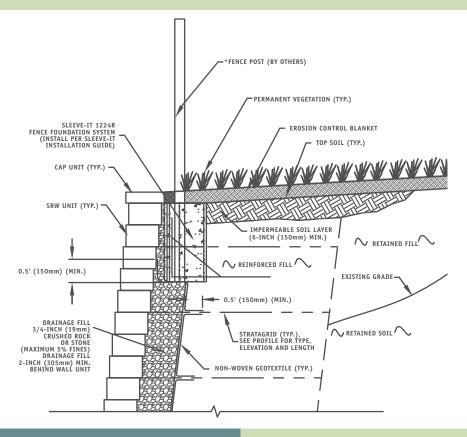
The International Residential Code (IRC) states, Section R312 - Guards, states "guards are required when raised surfaces are located more than 30 inches above the floor or grade below. The guard shall not be less than 36 inches (3 feet) in height. The requirement for guards applies to porches, balconies, decks, ramps and raised surfaces (i.e. retaining walls)."

Commercial Codes

The International Building Code (IBC), Section 1013 – Guards, states "guards shall be located along open-sided walking surfaces, mezzanines, industrial equipment platforms, stairways, ramps and landings that are located more than 30 inches above the floor or grade below. The guard shall provide adequate strength to meet the load requirements of Section 1607.7. Section 1607.7.1 requires guards and handrails to resist a load of 50 pounds per linear foot applied along the top, and Section 1607.7.1.1 states the assemblies and guards shall be able to resist a single concentrated load of 200 pounds applied along the top."

Sleeve-It Testing

- Full-Scale Load Testing Performed
- Testing formulated based on PennDOT,
 Rutgers University in coorperation of
 the NJDOT and FHWA



Additional Solutions from Strata

StrataWall and StrataSlope systems provide

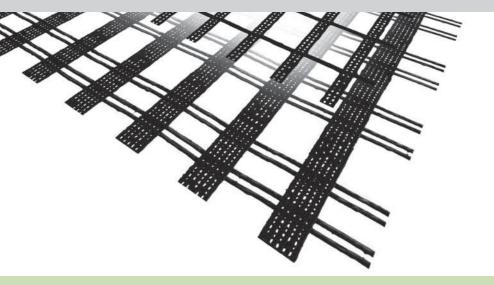
designers and engineers with an array of options for building retaining walls, vegetated steep slopes, temporary walls, and embankments. Not only are Strata products easy to handle and simple to install, they're built to last.

But Strata doesn't just manufacture the industry's highest quality geogrid products. Our dedicated engineering staff adds value to each soil reinforced system with a comprehensive program of world-class technical support. Strata's superior customer service begins with the design and bidding process, continues through ongoing consultations, and extends to expert installation training and other on-site assistance.



Stratagrid

The industry's first geogrid material knitted from high molecular weight and high tenacity polyester yarn. Engineered strength to comply with your geogrid application no matter how big the job.





Microgrid™

A small aperture geosynthetic specially developed for face wrap applications

StrataTex

WOVEN and NON-WOVEN Geotextiles for separation and reinforcement

StrataBase

A rigid biaxial geogrid that provides structural reinforcement for paved and unpaved roads

StrataDrain™

High-flow, fusion-bonded, geonet-geotextile composites for improved soil drainage







1.800.680.7750 380 Dahlonega Road, Suite 200, Cumming, GA 30040 USA



• Eliminated Fence Contractor concerns about wall system integrity

Consider Sleeve-It™ Fencing Integration System

- Reduces the Wall Designer's Liability related to fence integration
- Provides the Specifier with a code compliant solution
- Allow the Wall Contractor to maintain peak production
- Ensures the Developer maximum use of valuable real estate

manufactured by Strata Systems will be free from defects in material or workmanship for a period of one (1) year from date of shipment.

STRATA SYSTEMS MAKES NO OTHER WARRANTY, EXPRESS OR IMPLIED,
AND ALL IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR
A PARTICULAR PURPOSE ARE HEREBY DISCLAMIED. All projects which incorporate the use of Strata products should be desinged by a competent professional engineer.

WARRANTIES ON GOODS. Strata Systems represents that all goods





Rhizomatous Tall Fescue blend

- Self repairing tall fescue turf
- Ideal for many golf course turf applications, including club house grounds
- Once established, developing an extensive, deep root system
- Saves 30% water over comparable cool season turf
- Great for full sun and partially shaded areas
- Excellent disease resistance and insect tolerance
- Produces a thick, durable lawn with improved turf density
- Also available as sod through the RTF Producers Association
- Click here to buy now



Turf Saver® RTF® is the most advanced and unique tall fescue blend available, providing the benefits of rhizomes not found in any other varieties. While other spreading varieties describe "potential" rhizome development or "aggressive tillering," the deep-rooted and heat-tolerant Turf Saver RTF has been proven to mend damaged turf and maintain both uniformity and density.

- A blend featuring 40% RTF varieties combined with two high quality turf-type tall fescues
- · Including BarRobusto: a dark green tall fescue with high brown patch resistance and is certified for above average drought tolerance, compared to fellow turf-type tall fescues, by the Turfgrass Water Conservation Alliance
- Soon to include Thor: a high NTEP ranking tall fescue from Columbia Seeds' quality portfolio
- Quick to establish with very good uniformity and density
 Strong traffic tolerance the only self-repairing tall fescue seed mixture available
- Extensive, deep root system
- Saves 30% water over other cool season grasses
- Strong disease resistance and insect tolerance

Alternate forms of RTF include:

- TS RTF w/ Yellow Jacket
 TS RTF w/ HGT Kentucky bluegrass
 TS RTF w/ HGT Kentucky bluegrass w/ Yellow Jacket
- RTF Sod
- RTF Sod w/ Kentucky bluegrass
- RTF Sod w/ Kentucký bluegrass w/ Yellow Jacket



BARENBRUG

To locate an RTF sod producer near you, click here

Specifications

Seeding Rate 8 lbs.

Mowing Height: Range 0.5" to 3"

Mowing Height: Recommendation 2" to 3"

Packaging Size 50/25/10/5 lbs.

Composition

50

